

**cloudera<sup>®</sup>**

# Spark Guide

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### **Release Information**

Version: CDH 5.12.x  
Date: February 3, 2021

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# Apache Spark Overview

[Apache Spark](#) is a general framework for distributed computing that offers high performance for both batch and interactive processing. It exposes APIs for Java, Python, and Scala and consists of Spark core and several related projects:

- [Spark SQL](#) - Module for working with structured data. Allows you to seamlessly mix SQL queries with Spark programs.
- [Spark Streaming](#) - API that allows you to build scalable fault-tolerant streaming applications.
- [MLlib](#) - API that implements common [machine learning](#) algorithms.
- [GraphX](#) - API for graphs and graph-parallel computation.

You can run Spark applications locally or distributed across a cluster, either by using an [interactive shell](#) or by [submitting an application](#). Running Spark applications interactively is commonly performed during the data-exploration phase and for ad hoc analysis.

To run applications distributed across a cluster, Spark requires a cluster manager. Cloudera supports two cluster managers: YARN and Spark Standalone. When run on YARN, Spark application processes are managed by the YARN ResourceManager and NodeManager roles. When run on Spark Standalone, Spark application processes are managed by Spark Master and Worker roles.

**Note:**

This page contains information related to Spark 1.6, which is included with CDH. For information about the separately available parcel for CDS 2 Powered by Apache Spark, see [the documentation for CDS 2](#).

## Unsupported Features

The following Spark features are not supported:

- Spark SQL:
  - Thrift JDBC/ODBC server
  - Spark SQL CLI
- Spark Dataset API
- SparkR
- GraphX
- Spark on Scala 2.11
- Mesos cluster manager

## Related Information

- [Managing Spark](#)
- [Monitoring Spark Applications](#)
- [Spark Authentication](#)
- [Spark EncryptionSpark Encryption](#)
- [Cloudera Spark forum](#)
- [Apache Spark documentation](#)

## Running Your First Spark Application

The simplest way to run a Spark application is by using the Scala or Python shells.



### Important:

By default, CDH is configured to permit any user to access the Hive Metastore. However, if you have modified the value set for the configuration property `hadoop.proxyuser.hive.groups`, your Spark application might throw exceptions when it is run. To address this issue, make sure you add the groups that contain the Spark users that you want to have access to the metastore when Spark applications are run to this property.

1. To start one of the shell applications, run one of the following commands:

- Scala:

```
$ SPARK_HOME/bin/spark-shell
Welcome to

  ____      _
 / ___|    / \
| |  | |  / _ \
| |  | | / ___ \
| |  | | \___/ \
| |  | |
| |  | |
|_|  |_|

 version ...

Using Scala version 2.10.4 (Java HotSpot(TM) 64-Bit Server VM, Java 1.7.0_67)
Type in expressions to have them evaluated.
Type :help for more information
...
SQL context available as sqlContext.

scala>
```

- Python:

```
$ SPARK_HOME/bin/pyspark
Python 2.6.6 (r266:84292, Jul 23 2015, 15:22:56)
[GCC 4.4.7 20120313 (Red Hat 4.4.7-11)] on linux2
Type "help", "copyright", "credits" or "license" for more information
...
Welcome to

  ____      _
 / ___|    / \
| |  | |  / _ \
| |  | | / ___ \
| |  | | \___/ \
| |  | |
| |  | |
|_|  |_|

 version ...

Using Python version 2.6.6 (r266:84292, Jul 23 2015 15:22:56)
SparkContext available as sc, HiveContext available as sqlContext.
>>>
```

In a CDH deployment, `SPARK_HOME` defaults to `/usr/lib/spark` in package installations and `/opt/cloudera/parcels/CDH/lib/spark` in parcel installations. In a Cloudera Manager deployment, the shells are also available from `/usr/bin`.

For a complete list of [shell options](#), run `spark-shell` or `pyspark` with the `-h` flag.

2. To run the classic Hadoop word count application, copy an input file to HDFS:

```
$ hdfs dfs -put input
```

3. Within a shell, run the word count application using the following code examples, substituting for `namenode_host`, `path/to/input`, and `path/to/output`:

- Scala

```
scala> val myfile = sc.textFile("hdfs://namenode_host:8020/path/to/input")
scala> val counts = myfile.flatMap(line => line.split(" ")).map(word => (word,
1)).reduceByKey(_ + _)
scala> counts.saveAsTextFile("hdfs://namenode:8020/path/to/output")
```

- Python

```
>>> myfile = sc.textFile("hdfs://namenode_host:8020/path/to/input")
>>> counts = myfile.flatMap(lambda line: line.split(" ")).map(lambda word: (word,
1)).reduceByKey(lambda v1,v2: v1 + v2)
>>> counts.saveAsTextFile("hdfs://namenode:8020/path/to/output")
```

# Spark Application Overview

## Spark Application Model

Apache Spark is widely considered to be the [successor](#) to MapReduce for general purpose data processing on Apache Hadoop clusters. Like MapReduce applications, each Spark application is a self-contained computation that runs user-supplied code to compute a result. As with MapReduce jobs, Spark applications can use the resources of multiple hosts. However, Spark has many advantages over MapReduce.

In MapReduce, the highest-level unit of computation is a **job**. A job loads data, applies a map function, shuffles it, applies a reduce function, and writes data back out to persistent storage. In Spark, the highest-level unit of computation is an **application**. A Spark application can be used for a single batch job, an interactive session with multiple jobs, or a long-lived server continually satisfying requests. A Spark job can consist of more than just a single map and reduce.

MapReduce starts a process for each task. In contrast, a Spark application can have processes running on its behalf even when it's not running a job. Furthermore, multiple tasks can run within the same executor. Both combine to enable extremely fast task startup time as well as in-memory data storage, resulting in orders of magnitude faster performance over MapReduce.

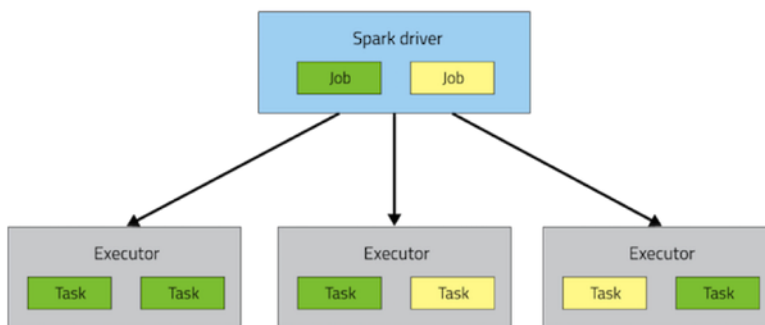
## Spark Execution Model

Spark application execution involves runtime concepts such as **driver**, **executor**, **task**, **job**, and **stage**. Understanding these concepts is vital for writing fast and resource efficient Spark programs.

At runtime, a Spark application maps to a single **driver** process and a set of **executor** processes distributed across the hosts in a cluster.

The driver process manages the job flow and schedules tasks and is available the entire time the application is running. Typically, this driver process is the same as the client process used to initiate the job, although when run on YARN, the driver can run in the cluster. In interactive mode, the shell itself is the driver process.

The executors are responsible for performing work, in the form of **tasks**, as well as for storing any data that you cache. Executor lifetime depends on whether [dynamic allocation](#) is enabled. An executor has a number of slots for running tasks, and will run many concurrently throughout its lifetime.



Invoking an action inside a Spark application triggers the launch of a **job** to fulfill it. Spark examines the dataset on which that action depends and formulates an execution plan. The execution plan assembles the dataset transformations into stages. A **stage** is a collection of tasks that run the same code, each on a different subset of the data.



## Developing Spark Applications

When you are ready to move beyond running core Spark applications in an interactive shell, you need best practices for building, packaging, and configuring applications and using the more advanced APIs. This section describes:

- How to develop, package, and run Spark applications.
- Aspects of using Spark APIs beyond core Spark.
- How to access data stored in various file formats, such as Parquet and Avro.
- How to access data stored in cloud storage systems, such as Amazon S3 or Microsoft ADLS.
- Best practices in building and configuring Spark applications.

### Developing and Running a Spark WordCount Application

This tutorial describes how to write, compile, and run a simple Spark word count application in three of the languages supported by Spark: Scala, Python, and Java. The [Scala and Java code](#) was originally developed for a Cloudera tutorial written by Sandy Ryza.

#### Writing the Application

The example application is an enhanced version of [WordCount](#), the canonical MapReduce example. In this version of WordCount, the goal is to learn the distribution of letters in the most popular words in a corpus. The application:

1. Creates a [SparkConf](#) and [SparkContext](#). A Spark application corresponds to an instance of the `SparkContext` class. When running a [shell](#), the `SparkContext` is created for you.
2. Gets a word frequency threshold.
3. Reads an input set of text documents.
4. Counts the number of times each word appears.
5. Filters out all words that appear fewer times than the threshold.
6. For the remaining words, counts the number of times each letter occurs.

In MapReduce, this requires two MapReduce applications, as well as persisting the intermediate data to HDFS between them. In Spark, this application requires about 90 percent fewer lines of code than one developed using the MapReduce API.

Here are three versions of the program:

- [Figure 1: Scala WordCount](#) on page 9
- [Figure 2: Python WordCount](#) on page 10
- [Figure 3: Java 7 WordCount](#) on page 10

```
import org.apache.spark.SparkContext
import org.apache.spark.SparkContext._
import org.apache.spark.SparkConf

object SparkWordCount {
  def main(args: Array[String]) {
    // create Spark context with Spark configuration
    val sc = new SparkContext(new SparkConf().setAppName("Spark Count"))

    // get threshold
    val threshold = args(1).toInt

    // read in text file and split each document into words
    val tokenized = sc.textFile(args(0)).flatMap(_.split(" "))

    // count the occurrence of each word
    val wordCounts = tokenized.map((_, 1)).reduceByKey(_ + _)
  }
}
```

```

// filter out words with fewer than threshold occurrences
val filtered = wordCounts.filter(_._2 >= threshold)

// count characters
val charCounts = filtered.flatMap(_._1.toCharArray).map(_._1).reduceByKey(_ + _)

System.out.println(charCounts.collect().mkString(", "))
}
}

```

**Figure 1: Scala WordCount**

```

import sys

from pyspark import SparkContext, SparkConf

if __name__ == "__main__":

    # create Spark context with Spark configuration
    conf = SparkConf().setAppName("Spark Count")
    sc = SparkContext(conf=conf)

    # get threshold
    threshold = int(sys.argv[2])

    # read in text file and split each document into words
    tokenized = sc.textFile(sys.argv[1]).flatMap(lambda line: line.split(" "))

    # count the occurrence of each word
    wordCounts = tokenized.map(lambda word: (word, 1)).reduceByKey(lambda v1,v2:v1 +v2)

    # filter out words with fewer than threshold occurrences
    filtered = wordCounts.filter(lambda pair:pair[1] >= threshold)

    # count characters
    charCounts = filtered.flatMap(lambda pair:pair[0]).map(lambda c: c).map(lambda c: (c,
1)).reduceByKey(lambda v1,v2:v1 +v2)

    list = charCounts.collect()
    print repr(list)[1:-1]

```

**Figure 2: Python WordCount**

```

import java.util.ArrayList;
import java.util.Arrays;
import java.util.Collection;
import org.apache.spark.api.java.*;
import org.apache.spark.api.java.function.*;
import org.apache.spark.SparkConf;
import scala.Tuple2;

public class JavaWordCount {
    public static void main(String[] args) {

        // create Spark context with Spark configuration
        JavaSparkContext sc = new JavaSparkContext(new SparkConf().setAppName("Spark Count"));

        // get threshold
        final int threshold = Integer.parseInt(args[1]);

        // read in text file and split each document into words
        JavaRDD<String> tokenized = sc.textFile(args[0]).flatMap(
            new FlatMapFunction() {
                public Iterable call(String s) {
                    return Arrays.asList(s.split(" "));
                }
            }
        );
    }
}

```

```

// count the occurrence of each word
JavaPairRDD<String, Integer> counts = tokenized.mapToPair(
    new PairFunction() {
        public Tuple2 call(String s) {
            return new Tuple2(s, 1);
        }
    }
).reduceByKey(
    new Function2() {
        public Integer call(Integer i1, Integer i2) {
            return i1 + i2;
        }
    }
);

// filter out words with fewer than threshold occurrences
JavaPairRDD<String, Integer> filtered = counts.filter(
    new Function, Boolean>() {
        public Boolean call(Tuple2 tup) {
            return tup._2 >= threshold;
        }
    }
);

// count characters
JavaPairRDD<Character, Integer> charCounts = filtered.flatMap(
    new FlatMapFunction<Tuple2<String, Integer>, Character>() {
        @Override
        public Iterable<Character> call(Tuple2<String, Integer> s) {
            Collection<Character> chars = new ArrayList<Character>(s._1().length());
            for (char c : s._1().toCharArray()) {
                chars.add(c);
            }
            return chars;
        }
    }
).mapToPair(
    new PairFunction<Character, Character, Integer>() {
        @Override
        public Tuple2<Character, Integer> call(Character c) {
            return new Tuple2<Character, Integer>(c, 1);
        }
    }
).reduceByKey(
    new Function2<Integer, Integer, Integer>() {
        @Override
        public Integer call(Integer i1, Integer i2) {
            return i1 + i2;
        }
    }
);

System.out.println(charCounts.collect());
}
}

```

**Figure 3: Java 7 WordCount**

Because Java 7 does not support anonymous functions, this Java program is considerably more verbose than Scala and Python, but still requires a fraction of the code needed in an equivalent MapReduce program. Java 8 supports anonymous functions and [their use](#) can further streamline the Java application.

### Compiling and Packaging the Scala and Java Applications

The tutorial uses Maven to compile and package the Scala and Java programs. Excerpts of the tutorial [pom.xml](#) are included below. For best practices using Maven to build Spark applications, see [Building Spark Applications](#) on page 32.

To compile Scala, include the Scala tools plug-in:

```
<plugin>
  <groupId>org.scala-tools</groupId>
  <artifactId>maven-scala-plugin</artifactId>
  <executions>
    <execution>
      <goals>
        <goal>compile</goal>
        <goal>testCompile</goal>
      </goals>
    </execution>
  </executions>
</plugin>
```

which requires the `scala-tools` plug-in repository:

```
<pluginRepositories>
<pluginRepository>
  <id>scala-tools.org</id>
  <name>Scala-tools Maven2 Repository</name>
  <url>http://scala-tools.org/repo-releases</url>
</pluginRepository>
</pluginRepositories>
```

Also, include Scala and Spark as dependencies:

```
<dependencies>
  <dependency>
    <groupId>org.scala-lang</groupId>
    <artifactId>scala-library</artifactId>
    <version>2.10.2</version>
    <scope>provided</scope>
  </dependency>
  <dependency>
    <groupId>org.apache.spark</groupId>
    <artifactId>spark-core_2.10</artifactId>
    <version>1.6.0-cdh5.7.0</version>
    <scope>provided</scope>
  </dependency>
</dependencies>
```

To generate the application JAR, run:

```
$ mvn package
```

to create `sparkwordcount-1.0-SNAPSHOT-jar-with-dependencies.jar` in the target directory.

### Running the Application

1. The input to the application is a large text file in which each line contains all the words in a document, stripped of punctuation. Put an input file in a directory on HDFS. You can use tutorial [example input file](#):

```
$ wget --no-check-certificate ../inputfile.txt
$ hdfs dfs -put inputfile.txt
```

2. Run one of the applications using [spark-submit](#):

- Scala - Run in a local process with threshold 2:

```
$ spark-submit --class com.cloudera.sparkwordcount.SparkWordCount \
--master local --deploy-mode client --executor-memory 1g \
--name wordcount --conf "spark.app.id=wordcount" \
```

```
sparkwordcount-1.0-SNAPSHOT-jar-with-dependencies.jar
hdfs://namenode_host:8020/path/to/inputfile.txt 2
```

If you use the example input file, the output should look something like:

```
(e,6), (p,2), (a,4), (t,2), (i,1), (b,1), (u,1), (h,1), (o,2), (n,4), (f,1), (v,1),
(r,2), (l,1), (c,1)
```

- Java - Run in a local process with threshold 2:

```
$ spark-submit --class com.cloudera.sparkwordcount.JavaWordCount \
--master local --deploy-mode client --executor-memory 1g \
--name wordcount --conf "spark.app.id=wordcount" \
sparkwordcount-1.0-SNAPSHOT-jar-with-dependencies.jar
hdfs://namenode_host:8020/path/to/inputfile.txt 2
```

If you use the example input file, the output should look something like:

```
(e,6), (p,2), (a,4), (t,2), (i,1), (b,1), (u,1), (h,1), (o,2), (n,4), (f,1), (v,1),
(r,2), (l,1), (c,1)
```

- Python - Run on YARN with threshold 2:

```
$ spark-submit --master yarn --deploy-mode client --executor-memory 1g \
--name wordcount --conf "spark.app.id=wordcount" wordcount.py
hdfs://namenode_host:8020/path/to/inputfile.txt 2
```

In this case, the output should look something like:

```
[(u'a', 4), (u'c', 1), (u'e', 6), (u'i', 1), (u'o', 2), (u'u', 1), (u'b', 1), (u'f',
1), (u'h', 1), (u'l', 1), (u'n', 4), (u'p', 2), (u'r', 2), (u't', 2), (u'v', 1)]
```

## Using Spark Streaming

Spark Streaming is an extension of core Spark that enables scalable, high-throughput, fault-tolerant processing of data streams. Spark Streaming receives input data streams and divides the data into batches called DStreams. DStreams can be created either from sources such as Kafka, Flume, and Kinesis, or by applying operations on other DStreams. Every input DStream is associated with a *Receiver*, which receives the data from a source and stores it in executor memory.

For detailed information on Spark Streaming, see [Spark Streaming Programming Guide](#).

## Spark Streaming and Dynamic Allocation

Starting with CDH 5.5, [dynamic allocation](#) is enabled by default, which means that executors are removed when idle. However, dynamic allocation is not effective in Spark Streaming. In Spark Streaming, data comes in every batch, and executors run whenever data is available. If the executor idle timeout is less than the batch duration, executors are constantly being added and removed. However, if the executor idle timeout is greater than the batch duration, executors are never removed. Therefore, Cloudera recommends that you disable dynamic allocation by setting `spark.dynamicAllocation.enabled` to `false` when running streaming applications.

## Spark Streaming Example

This example uses Kafka to deliver a stream of words to a Python word count program.

1. [Install Kafka](#) and create a Kafka service.

2. Create a Kafka topic `wordcounttopic` and pass in your ZooKeeper server:

```
$ kafka-topics --create --zookeeper zookeeper_server:2181 --topic wordcounttopic \
--partitions 1 --replication-factor 1
```

3. Create a Kafka word count Python program adapted from the Spark Streaming example [kafka\\_wordcount.py](#). This version divides the input stream into batches of 10 seconds and counts the words in each batch:

```
from __future__ import print_function

import sys

from pyspark import SparkContext
from pyspark.streaming import StreamingContext
from pyspark.streaming.kafka import KafkaUtils

if __name__ == "__main__":
    if len(sys.argv) != 3:
        print("Usage: kafka_wordcount.py <zk> <topic>", file=sys.stderr)
        exit(-1)

    sc = SparkContext(appName="PythonStreamingKafkaWordCount")
    ssc = StreamingContext(sc, 10)

    zkQuorum, topic = sys.argv[1:]
    kvs = KafkaUtils.createStream(ssc, zkQuorum, "spark-streaming-consumer", {topic:
1})
    lines = kvs.map(lambda x: x[1])
    counts = lines.flatMap(lambda line: line.split(" ")).map(lambda word: (word,
1)).reduceByKey(lambda a, b: a+b)
    counts.pprint()

    ssc.start()
    ssc.awaitTermination()
```

4. Submit the application using `spark-submit` with dynamic allocation disabled and pass in your ZooKeeper server and topic `wordcounttopic`. To run locally, you must specify at least two worker threads: one to receive and one to process data.

```
$ spark-submit --master local[2] --conf "spark.dynamicAllocation.enabled=false" \
--jars $SPARK_HOME/lib/spark-examples.jar kafka_wordcount.py \
zookeeper_server:2181 wordcounttopic
```

In a CDH deployment, `SPARK_HOME` defaults to `/usr/lib/spark` in package installations and `/opt/cloudera/parcels/CDH/lib/spark` in parcel installations. In a Cloudera Manager deployment, the shells are also available from `/usr/bin`.

Alternatively, you can run on YARN as follows:

```
$ spark-submit --master yarn --deploy-mode client --conf
"spark.dynamicAllocation.enabled=false" \
--jars $SPARK_HOME/lib/spark-examples.jar kafka_wordcount.py \
zookeeper_server:2181 wordcounttopic
```

5. In another window, start a Kafka producer that publishes to `wordcounttopic`:

```
$ kafka-console-producer --broker-list kafka_broker:9092 --topic wordcounttopic
```

6. In the producer window, type the following:

```
hello
hello
hello
hello
hello
hello
```

```
gb
gb
gb
gb
gb
gb
```

Depending on how fast you type, in the Spark Streaming application window you will see output like:

```
-----
Time: 2016-01-06 14:18:00
```

```
(u'hello', 6)
(u'gb', 2)
```

```
-----
Time: 2016-01-06 14:18:10
```

```
(u'gb', 4)
```

## Enabling Fault-Tolerant Processing in Spark Streaming

If the driver host for a Spark Streaming application fails, it can lose data that has been received but not yet processed. To ensure that no data is lost, you can use Spark Streaming recovery. Spark writes incoming data to HDFS as it is received and uses this data to recover state if a failure occurs.

To enable Spark Streaming recovery:

1. Set the `spark.streaming.receiver.writeAheadLog.enable` parameter to `true` in the `SparkConf` object.
2. Create a `StreamingContext` instance using this `SparkConf`, and specify a checkpoint directory.
3. Use the `getOrCreate` method in `StreamingContext` to either create a new context or recover from an old context from the checkpoint directory:

```
from __future__ import print_function
import sys

from pyspark import SparkContext, SparkConf
from pyspark.streaming import StreamingContext
from pyspark.streaming.kafka import KafkaUtils

checkpoint = "hdfs://ns1/user/systest/checkpoint"

# Function to create and setup a new StreamingContext
def functionToCreateContext():

    sparkConf = SparkConf()
    sparkConf.set("spark.streaming.receiver.writeAheadLog.enable", "true")
    sc = SparkContext(appName="PythonStreamingKafkaWordCount", conf=sparkConf)
    ssc = StreamingContext(sc, 10)

    zkQuorum, topic = sys.argv[1:]
    kvs = KafkaUtils.createStream(ssc, zkQuorum, "spark-streaming-consumer", {topic: 1})
    lines = kvs.map(lambda x: x[1])
    counts = lines.flatMap(lambda line: line.split(" ")).map(lambda word: (word,
1)).reduceByKey(lambda a, b: a+b)
    counts.pprint()

    ssc.checkpoint(checkpoint) # set checkpoint directory
    return ssc

if __name__ == "__main__":
    if len(sys.argv) != 3:
        print("Usage: kafka_wordcount.py <zk> <topic>", file=sys.stderr)
        exit(-1)

    ssc = StreamingContext.getOrCreate(checkpoint, lambda: functionToCreateContext())
```

```
ssc.start()
ssc.awaitTermination()
```

For more information, see [Checkpointing](#).

To prevent data loss if a receiver fails, receivers must be able to replay data from the original data sources if required.

- The Kafka receiver automatically replays if the `spark.streaming.receiver.writeAheadLog.enable` parameter is set to `true`.
- The receiverless Direct Kafka DStream does not require the `spark.streaming.receiver.writeAheadLog.enable` parameter and can function without data loss, even without Streaming recovery.
- Both Flume receivers packaged with Spark replay the data automatically on receiver failure.

For more information, see [Spark Streaming + Kafka Integration Guide](#) and [Spark Streaming + Flume Integration Guide](#).

### Configuring Authentication for Long-Running Spark Streaming Jobs

If you are using authenticated Spark communication, you must perform additional configuration steps for long-running Spark Streaming jobs. See [Configuring Spark on YARN for Long-running Applications](#).

### Best Practices for Spark Streaming in the Cloud

When using Spark Streaming with a cloud service as the underlying storage layer, use ephemeral HDFS on the cluster to store the checkpoints, instead of the cloud store such as Amazon S3 or Microsoft ADLS.

## Using Spark SQL

Spark SQL lets you query structured data inside Spark programs using either SQL or using the DataFrame API.

For detailed information on Spark SQL, see the [Spark SQL and DataFrame Guide](#).

### SQLContext and HiveContext

The entry point to all Spark SQL functionality is the [SQLContext](#) class or one of its descendants. You create a `SQLContext` from a `SparkContext`. With an `SQLContext`, you can create a `DataFrame` from an RDD, a Hive table, or a data source.

To work with data stored in Hive or Impala tables from Spark applications, construct a `HiveContext`, which inherits from `SQLContext`. With a `HiveContext`, you can access Hive or Impala tables represented in the metastore database.



**Note:**

Hive and Impala tables and related SQL syntax are interchangeable in most respects. Because Spark uses the underlying Hive infrastructure, with Spark SQL you write DDL statements, DML statements, and queries using the HiveQL syntax. For interactive query performance, you can access the same tables through Impala using `impala-shell` or the Impala JDBC and ODBC interfaces.

If you use `spark-shell`, a `HiveContext` is already created for you and is available as the `sqlContext` variable.

If you use `spark-submit`, use code like the following at the start of the program:

**Python:**

```
from pyspark import SparkContext, HiveContext
sc = SparkContext(appName = "test")
sqlContext = HiveContext(sc)
```



The host from which the Spark application is submitted or on which `spark-shell` or `pyspark` runs must have a [Hive gateway role](#) defined in Cloudera Manager and [client configurations](#) deployed.

When a Spark job accesses a Hive view, Spark must have privileges to read the data files in the underlying Hive tables. Currently, Spark cannot use fine-grained privileges based on the columns or the `WHERE` clause in the view definition. If Spark does not have the required privileges on the underlying data files, a SparkSQL query against the view returns an empty result set, rather than an error.

## Querying Files Into a DataFrame

If you have data files that are outside of a Hive or Impala table, you can use SQL to directly read JSON or Parquet files into a DataFrame:

- JSON:

```
df = sqlContext.sql("SELECT * FROM json.`input dir`")
```

- Parquet:

```
df = sqlContext.sql("SELECT * FROM parquet.`input dir`")
```

See [Running SQL on Files](#).

## Spark SQL Example

This example demonstrates how to use `sqlContext.sql` to create and load two tables and select rows from the tables into two DataFrames. The next steps use the DataFrame API to filter the rows for salaries greater than 150,000 from one of the tables and shows the resulting DataFrame. Then the two DataFrames are joined to create a third DataFrame. Finally the new DataFrame is saved to a Hive table.

1. At the command line, copy the Hue sample\_07 and sample\_08 CSV files to HDFS:

```
$ hdfs dfs -put HUE_HOME/apps/beeswax/data/sample_07.csv /user/hdfs
$ hdfs dfs -put HUE_HOME/apps/beeswax/data/sample_08.csv /user/hdfs
```

where `HUE_HOME` defaults to `/opt/cloudera/parcels/CDH/lib/hue` (parcel installation) or `/usr/lib/hue` (package installation).

2. Start `spark-shell`:

```
$ spark-shell
```

3. Create Hive tables `sample_07` and `sample_08`:

```
scala> sqlContext.sql("CREATE TABLE sample_07 (code string,description string,total_emp int,salary int) ROW FORMAT DELIMITED FIELDS TERMINATED BY '\t' STORED AS TextFile")
scala> sqlContext.sql("CREATE TABLE sample_08 (code string,description string,total_emp int,salary int) ROW FORMAT DELIMITED FIELDS TERMINATED BY '\t' STORED AS TextFile")
```

4. In Beeline, show the Hive tables:

```
[0: jdbc:hive2://hostname.com:> show tables;
+-----+---+
| tab_name |
+-----+---+
| sample_07 |
| sample_08 |
+-----+---+
```

5. Load the data in the CSV files into the tables:

```
scala> sqlContext.sql("LOAD DATA INPATH '/user/hdfs/sample_07.csv' OVERWRITE INTO TABLE sample_07")
scala> sqlContext.sql("LOAD DATA INPATH '/user/hdfs/sample_08.csv' OVERWRITE INTO TABLE sample_08")
```

6. Create DataFrames containing the contents of the sample\_07 and sample\_08 tables:

```
scala> val df_07 = sqlContext.sql("SELECT * from sample_07")
scala> val df_08 = sqlContext.sql("SELECT * from sample_08")
```

7. Show all rows in df\_07 with salary greater than 150,000:

```
scala> df_07.filter(df_07("salary") > 150000).show()
```

The output should be:

code	description	total_emp	salary
11-1011	Chief executives	299160	151370
29-1022	Oral and maxillof...	5040	178440
29-1023	Orthodontists	5350	185340
29-1024	Prosthodontists	380	169360
29-1061	Anesthesiologists	31030	192780
29-1062	Family and genera...	113250	153640
29-1063	Internists, general	46260	167270
29-1064	Obstetricians and...	21340	183600
29-1067	Surgeons	50260	191410
29-1069	Physicians and su...	237400	155150

8. Create the DataFrame df\_09 by joining df\_07 and df\_08, retaining only the code and description columns.

```
scala> val df_09 = df_07.join(df_08, df_07("code") === df_08("code")).select(df_07.col("code"),df_07.col("description"))
scala> df_09.show()
```

The new DataFrame looks like:

code	description
00-0000	All Occupations
11-0000	Management occupa...
11-1011	Chief executives
11-1021	General and opera...
11-1031	Legislators
11-2011	Advertising and p...
11-2021	Marketing managers
11-2022	Sales managers
11-2031	Public relations ...
11-3011	Administrative se...
11-3021	Computer and info...
11-3031	Financial managers
11-3041	Compensation and ...
11-3042	Training and deve...
11-3049	Human resources m...
11-3051	Industrial produc...
11-3061	Purchasing managers
11-3071	Transportation, s...
11-9011	Farm, ranch, and ...
11-9012	Farmers and ranchers

**9. Save DataFrame df\_09 as the Hive table sample\_09:**

```
scala> df_09.write.saveAsTable("sample_09")
```

**10 In Beeline, show the Hive tables:**

```
[0: jdbc:hive2://hostname.com:> show tables;
+-----+
| tab_name |
+-----+
| sample_07 |
| sample_08 |
| sample_09 |
+-----+
```

The equivalent program in Python, that you could submit using `spark-submit`, would be:

```
from pyspark import SparkContext, SparkConf, HiveContext

if __name__ == "__main__":

    # create Spark context with Spark configuration
    conf = SparkConf().setAppName("Data Frame Join")
    sc = SparkContext(conf=conf)
    sqlContext = HiveContext(sc)
    df_07 = sqlContext.sql("SELECT * from sample_07")
    df_07.filter(df_07.salary > 150000).show()
    df_08 = sqlContext.sql("SELECT * from sample_08")
    tbls = sqlContext.sql("show tables")
    tbls.show()
    df_09 = df_07.join(df_08, df_07.code == df_08.code).select(df_07.code,df_07.description)

    df_09.show()
    df_09.write.saveAsTable("sample_09")
    tbls = sqlContext.sql("show tables")
    tbls.show()
```

Instead of displaying the tables using Beeline, the `show tables` query is run using the Spark SQL API.

## Ensuring HiveContext Enforces Secure Access

To ensure that `HiveContext` enforces ACLs, enable the HDFS-Sentry plug-in as described in [Synchronizing HDFS ACLs and Sentry Permissions](#). Column-level access control for access from Spark SQL is not supported by the HDFS-Sentry plug-in.

## Interaction with Hive Views

When a Spark job accesses a Hive view, Spark must have privileges to read the data files in the underlying Hive tables. Currently, Spark cannot use fine-grained privileges based on the columns or the `WHERE` clause in the view definition. If Spark does not have the required privileges on the underlying data files, a SparkSQL query against the view returns an empty result set, rather than an error.

## Performance and Storage Considerations for Spark SQL DROP TABLE PURGE

The `PURGE` clause in the Hive `DROP TABLE` statement causes the underlying data files to be removed immediately, without being transferred into a temporary holding area (the HDFS trashcan).

Although the `PURGE` clause is recognized by the Spark SQL `DROP TABLE` statement, this clause is currently *not* passed along to the Hive statement that performs the “drop table” operation behind the scenes. Therefore, if you know the `PURGE` behavior is important in your application for performance, storage, or security reasons, do the `DROP TABLE` directly in Hive, for example through the `beeline` shell, rather than through Spark SQL.

The immediate deletion aspect of the `PURGE` clause could be significant in cases such as:

- If the cluster is running low on storage space and it is important to free space immediately, rather than waiting for the HDFS trashcan to be periodically emptied.
- If the underlying data files reside on the Amazon S3 filesystem. Moving files to the HDFS trashcan from S3 involves physically copying the files, meaning that the default `DROP TABLE` behavior on S3 involves significant performance overhead.
- If the underlying data files contain sensitive information and it is important to remove them entirely, rather than leaving them to be cleaned up by the periodic emptying of the trashcan.
- If restrictions on HDFS encryption zones prevent files from being moved to the HDFS trashcan. This restriction primarily applies to CDH 5.7 and lower. With CDH 5.8 and higher, each HDFS encryption zone has its own HDFS trashcan, so the normal `DROP TABLE` behavior works correctly without the `PURGE` clause.

## Using Spark MLlib

CDH 5.5 supports MLlib, Spark's [machine learning](#) library. For information on MLlib, see the [Machine Learning Library \(MLlib\) Guide](#).

### Running a Spark MLlib Example

To try Spark MLlib using one of the Spark example applications, do the following:

1. Download MovieLens sample data and copy it to HDFS:

```
$ wget --no-check-certificate \  
https://raw.githubusercontent.com/apache/spark/branch-1.6/data/mllib/sample_movielens_data.txt  
$ hdfs dfs -copyFromLocal sample_movielens_data.txt /user/hdfs
```

2. [Run the Spark MLlib MovieLens example application](#), which calculates recommendations based on movie reviews:

```
$ spark-submit --master local --class org.apache.spark.examples.mllib.MovieLensALS \  
$SPARK_HOME/lib/spark-examples.jar \  
--rank 5 --numIterations 5 --lambda 1.0 --kryo sample_movielens_data.txt
```

### Enabling Native Acceleration For MLlib

MLlib algorithms are compute intensive and benefit from hardware acceleration. To enable native acceleration for MLlib, perform the following tasks.

#### Install Required Software

- Install the appropriate `libgfortran` 4.6+ package for your operating system. No compatible version is available for RHEL 5 or 6.

OS	Package Name	Package Version
RHEL 7.1	libgfortran	4.8.x
SLES 11 SP3	libgfortran3	4.7.2
Ubuntu 12.04	libgfortran3	4.6.3
Ubuntu 14.04	libgfortran3	4.8.4
Debian 7.1	libgfortran3	4.7.2

- Install the GPL Extras [parcel](#) or package.

## Verify Native Acceleration

You can verify that native acceleration is working by examining logs after running an application. To verify native acceleration with an MLib example application:

1. Do the steps in [Running a Spark MLib Example](#) on page 20.
2. Check the logs. If native libraries are not loaded successfully, you see the following four warnings before the final line, where the RMSE is printed:

```
15/07/12 12:33:01 WARN BLAS: Failed to load implementation from:
com.github.fommil.netlib.NativeSystemBLAS
15/07/12 12:33:01 WARN BLAS: Failed to load implementation from:
com.github.fommil.netlib.NativeRefBLAS
15/07/12 12:33:01 WARN LAPACK: Failed to load implementation from:
com.github.fommil.netlib.NativeSystemLAPACK
15/07/12 12:33:01 WARN LAPACK: Failed to load implementation from:
com.github.fommil.netlib.NativeRefLAPACK
Test RMSE = 1.5378651281107205.
```

You see this on a system with no `libgfortran`. The same error occurs after installing `libgfortran` on RHEL 6 because it installs version 4.4, not 4.6+.

After installing `libgfortran` 4.8 on RHEL 7, you should see something like this:

```
15/07/12 13:32:20 WARN BLAS: Failed to load implementation from:
com.github.fommil.netlib.NativeSystemBLAS
15/07/12 13:32:20 WARN LAPACK: Failed to load implementation from:
com.github.fommil.netlib.NativeSystemLAPACK
Test RMSE = 1.5329939324808561.
```

## Accessing External Storage from Spark

Spark can access all storage sources supported by Hadoop, including a local file system, HDFS, [HBase](#), [Amazon S3](#), and [Microsoft ADLS](#).

Spark supports many file types, including text files, `RFile`, `SequenceFile`, Hadoop `InputFormat`, [Avro](#), [Parquet](#), and compression of all supported files.

For developer information about working with external storage, see [External Storage](#) in the *Spark Programming Guide*.

## Accessing Compressed Files

You can read compressed files using one of the following methods:

- `textFile(path)`
- `hadoopFile(path, outputFormatClass)`

You can save compressed files using one of the following methods:

- `saveAsTextFile(path, compressionCodecClass="codec_class")`
- `saveAsHadoopFile(path, outputFormatClass, compressionCodecClass="codec_class")`

where `codec_class` is one of the classes in [Compression Types](#).

For examples of accessing Avro and Parquet files, see [Spark with Avro and Parquet](#).

## Using Spark with Azure Data Lake Storage (ADLS)

Microsoft Azure Data Lake Store (ADLS) is a cloud-based filesystem that you can access through Spark applications. Data files are accessed using a `adl://` prefix instead of `hdfs://`. See [Configuring ADLS Connectivity](#) for instructions to set up ADLS as a storage layer for a CDH cluster.

## Accessing Data Stored in Amazon S3 through Spark

To access data stored in Amazon S3 from Spark applications, you use Hadoop file APIs (`SparkContext.hadoopFile`, `JavaHadoopRDD.saveAsHadoopFile`, `SparkContext.newAPIHadoopRDD`, and `JavaHadoopRDD.saveAsNewAPIHadoopFile`) for reading and writing RDDs, providing URLs of the form `s3a://bucket_name/path/to/file`. You can read and write Spark SQL DataFrames using the Data Source API.



**Important:** Cloudera components writing data to S3 are constrained by the inherent limitation of Amazon S3 known as “eventual consistency”. For more information, see [Data Storage Considerations](#).

### Specifying Credentials to Access S3 from Spark

You can access Amazon S3 from Spark by the following methods:



**Note:** If your S3 buckets have TLS enabled and you are using a custom `jssecacerts` truststore, make sure that your truststore includes the root Certificate Authority (CA) certificate that signed the Amazon S3 certificate. For more information, see [Amazon Web Services \(AWS\) Security](#).

#### Without credentials:

This mode of operation associates the authorization with individual EC2 instances instead of with each Spark app or the entire cluster.

Run EC2 instances with instance profiles associated with IAM roles that have the permissions you want. Requests from a machine with such a profile authenticate without credentials.

#### With credentials:

You can use one of the following methods described below to set up AWS credentials.

- **Set up AWS Credentials Using the Hadoop Credential Provider** - Cloudera recommends you use this method to set up AWS access because it provides system-wide AWS access to a single predefined bucket, without exposing the secret key in a configuration file or having to specify it at runtime.

1. Create the Hadoop credential provider file with the necessary access and secret keys:

```
hadoop credential create fs.s3a.access.key -provider jceks://hdfs/<path_to_hdfs_file>
-value <aws_access_id>
```

For example:

```
hadoop credential create fs.s3a.access.key -provider
jceks://hdfs/user/root/awskeyfile.jceks -value AKI*****
```

2. Add the AWS secret key to the `.jceks` credential file.

```
hadoop credential create fs.s3a.secret.key -provider jceks://hdfs/<path_to_hdfs_file>
-value <aws_secret_key>
```

For example:

```
hadoop credential create fs.s3a.secret.key -provider
jceks://hdfs/user/root/awskeyfile.jceks -value
+pla*****
```

3. AWS access for users can be set up in two ways. You can either provide a global credential provider file that will allow all Spark users to submit S3 jobs, *or* have each user submit their own credentials every time they submit a job.

- **For Per-User Access** - Provide the path to your specific credential store on the command line when submitting a Spark job. This means you do not need to modify the global settings for `core-site.xml`. Each user submitting a job can provide their own credentials at runtime as follows:

```
spark-submit --conf
spark.hadoop.hadoop.security.credential.provider.path=PATH_TO_JCEKS_FILE ...
```

- **For System-Wide Access** - Point to the Hadoop credential file created in the previous step using the Cloudera Manager Server:
  1. Login to the Cloudera Manager server.
  2. On the main page under **Cluster**, click on **HDFS**. Then click on **Configuration**. In the search box, enter `core-site`.
  3. Click on the + sign next to **Cluster-wide Advanced Configuration Snippet (Safety Valve) for core-site.xml**. For **Name**, put `spark.hadoop.security.credential.provider.path` and for **Value** put `jceks://hdfs/path_to_hdfs_file`. For example, `jceks://hdfs/user/root/awskeyfile.jceks`.
  4. Click **Save Changes** and deploy the client configuration to all nodes of the cluster.

After the services restart, you can use AWS filesystem with credentials supplied automatically through a secure mechanism.

#### 4. (Optional) Configure Oozie to Run Spark S3 Jobs - Set

`spark.hadoop.security.credential.provider.path` to the path of the `.jceks` file in Oozie's `workflow.xml` file under the Spark Action's `spark-opts` section. This allows Spark to load AWS credentials from the `.jceks` file in HDFS.

```
<action name="sparkS3job">
  <spark>
    ....
    <spark-opts>--conf
spark.hadoop.hadoop.security.credential.provider.path=PATH_TO_JCEKS_FILE</spark-opts>
    ....
</action>
```

You can use the Oozie notation `${wf:user() }` in the path to let Oozie use different AWS credentials for each user. For example:

```
--conf
spark.hadoop.hadoop.security.credential.provider.path=jceks://hdfs/user/${wf:user() }/aws.jceks
```

- *(Not Recommended)* Specify the credentials at run time. For example:

##### Scala

```
sc.hadoopConfiguration.set("fs.s3a.access.key", "...")
sc.hadoopConfiguration.set("fs.s3a.secret.key", "...")
```

##### Python

```
sc._jsc.hadoopConfiguration().set("fs.s3a.access.key", "...")
sc._jsc.hadoopConfiguration().set("fs.s3a.secret.key", "...")
```

This mode of operation is the most flexible, with each application able to access different S3 buckets. It might require extra work on your part to avoid making the secret key visible in source code. (For example, you might use a function call to retrieve the secret key from a secure location.)



**Note:**

This mechanism is not recommended for providing AWS credentials to Spark because the credentials are visible (unredacted) in application logs and event logs.

- *(Not Recommended)* Specify the credentials in a configuration file, such as `core-site.xml`:

```
<property>
  <name>fs.s3a.access.key</name>
  <value>...</value>
</property>
<property>
  <name>fs.s3a.secret.key</name>
  <value>...</value>
</property>
```

This mode of operation is convenient if all, or most, apps on a cluster access the same S3 bucket. Any apps that need different S3 credentials can use one of the other S3 authorization techniques.



**Note:**

This mechanism is not recommended for providing AWS credentials to Spark because the credentials are visible (unredacted) in application logs and event logs.

### Accessing S3 Through a Proxy

To access S3 through a proxy, set the following proxy [configuration parameters](#):

```
<property>
  <name>fs.s3a.proxy.host</name>
  <description>Hostname of the (optional) proxy server for S3 connections.</description>
</property>

<property>
  <name>fs.s3a.proxy.port</name>
  <description>Proxy server port. If this property is not set
    but fs.s3a.proxy.host is, port 80 or 443 is assumed (consistent with
    the value of fs.s3a.connection.ssl.enabled).</description>
</property>

<property>
  <name>fs.s3a.proxy.username</name>
  <description>Username for authenticating with proxy server.</description>
</property>

<property>
  <name>fs.s3a.proxy.password</name>
  <description>Password for authenticating with proxy server.</description>
</property>

<property>
  <name>fs.s3a.proxy.domain</name>
  <description>Domain for authenticating with proxy server.</description>
</property>

<property>
  <name>fs.s3a.proxy.workstation</name>
  <description>Workstation for authenticating with proxy server.</description>
</property>
```



## Performance Considerations for Spark with S3

The `FileOutputCommitter` algorithm version 1 uses a final `rename` operation as the mechanism for committing finished work at the end of a job. Because S3 renames are actually two operations (copy and delete), performance can be significantly impacted.

To improve the performance of Spark with S3, use version 2 of the output committer algorithm and disable speculative execution:

1. Add the following parameter to the YARN advanced configuration snippet (safety valve) to take effect:

```
<property>
  <name>spark.hadoop.mapreduce.fileoutputcommitter.algorithm.version</name>
  <value>2</value>
</property>
```

2. Disable speculative execution in the Spark configuration as usual:

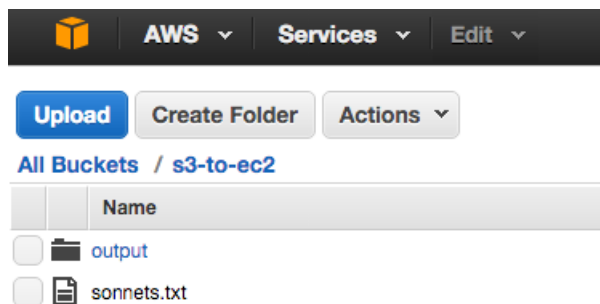
```
spark.speculation=false
```

## Examples of Accessing S3 Data from Spark

The following examples demonstrate basic patterns of accessing data in S3 using Spark. The examples show the setup steps, application code, and input and output files located in S3.

### Reading and Writing Text Files From and To Amazon S3

1. Specify Amazon S3 [credentials](#).
2. Perform the word count application on a `sonnets.txt` file stored in Amazon S3:



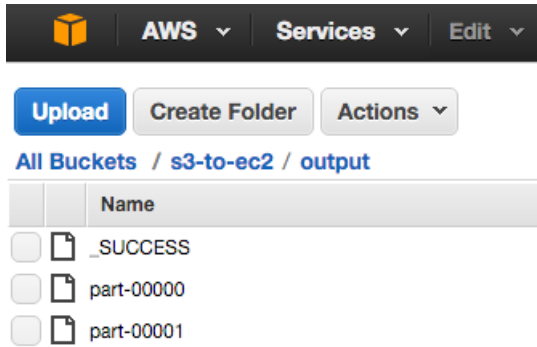
#### Scala

```
val sonnets = sc.textFile("s3a://s3-to-ec2/sonnets.txt")
val counts = sonnets.flatMap(line => line.split(" ")).map(word => (word, 1)).reduceByKey(_ + _)
counts.saveAsTextFile("s3a://s3-to-ec2/output")
```

#### Python

```
sonnets = sc.textFile("s3a://s3-to-ec2/sonnets.txt")
counts = sonnets.flatMap(lambda line: line.split(" ")).map(lambda word: (word, 1)).reduceByKey(lambda v1,v2: v1 + v2)
counts.saveAsTextFile("s3a://s3-to-ec2/output")
```

Yielding the output:



### Reading and Writing Data Sources From and To Amazon S3

The following example illustrates how to read a text file from Amazon S3 into an RDD, convert the RDD to a DataFrame, and then use the Data Source API to write the DataFrame into a [Parquet](#) file on Amazon S3:

1. Specify Amazon S3 [credentials](#).
2. Read a text file in Amazon S3:

```
val sample_07 = sc.textFile("s3a://s3-to-ec2/sample_07.csv")
```

3. Map lines into columns:

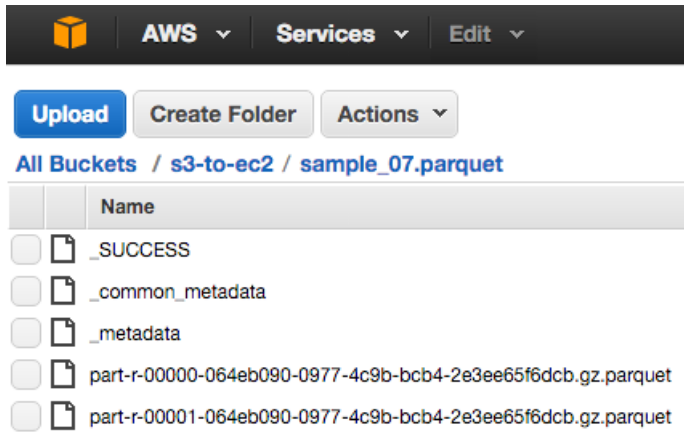
```
import org.apache.spark.sql.Row
val rdd_07 = sample_07.map(_.split('\t')).map(e => Row(e(0), e(1), e(2).trim.toInt, e(3).trim.toInt))
```

4. Create a schema and apply to the RDD to create a DataFrame:

```
scala> import org.apache.spark.sql.types.{StructType, StructField, StringType, IntegerType};
scala> val schema = StructType(Array(
  StructField("code", StringType, false),
  StructField("description", StringType, false),
  StructField("total_emp", IntegerType, false),
  StructField("salary", IntegerType, false)))
scala> val df_07 = sqlContext.createDataFrame(rdd_07, schema)
```

5. Write DataFrame to a Parquet file:

```
df_07.write.parquet("s3a://s3-to-ec2/sample_07.parquet")
```



The files are compressed with the default gzip compression.

## Accessing Data Stored in Azure Data Lake Store (ADLS) through Spark

To access data stored in Azure Data Lake Store (ADLS) from Spark applications, you use Hadoop file APIs (`SparkContext.hadoopFile`, `JavaHadoopRDD.saveAsHadoopFile`, `SparkContext.newAPIHadoopRDD`, and `JavaHadoopRDD.saveAsNewAPIHadoopFile`) for reading and writing RDDs, providing URLs of the form:

```
adl://your_account.azuredatalakestore.net/rest_of_directory_path
```

You can read and write Spark SQL DataFrames using the Data Source API.

### Specifying Credentials to Access ADLS from Spark

You can access ADLS from Spark by the methods described in [Configuring ADLS Connectivity](#).

### Examples of Accessing ADLS Data from Spark

The following examples demonstrate basic patterns of accessing data in ADLS using Spark. The examples show the setup steps, application code, and input and output files located in ADLS.

#### Reading and Writing Text Files From and To ADLS

1. Specify ADLS [credentials](#).
2. Perform the word count application on a `sonnets.txt` file stored in ADLS:

```
scala> val sonnets = sc.textFile("adl://sparkdemo.azuredatalakestore.net/sonnets.txt")
scala> val counts = sonnets.flatMap(line => line.split(" ")).map(word => (word,
1)).reduceByKey(_ + _)
scala> counts.saveAsTextFile("adl://sparkdemo.azuredatalakestore.net/output")
```

Yielding the output in the `output` subdirectory:

```
_SUCCESS
part-00000
part-00001
```

#### Reading and Writing Data Sources From and To ADLS

The following example illustrates how to read a text file from ADLS into an RDD, convert the RDD to a DataFrame, and then use the Data Source API to write the DataFrame into a [Parquet](#) file on ADLS:

1. Specify ADLS [credentials](#).
2. Read a text file in ADLS:

```
scala> val sample_07 = sc.textFile("adl://sparkdemo.azuredatalakestore.net/sample_07.csv")
```

3. Map lines into columns:

```
scala> import org.apache.spark.sql.Row
scala> val rdd_07 = sample_07.map(_.split('\t')).map(e => Row(e(0), e(1), e(2).trim.toInt,
e(3).trim.toInt))
```

4. Create a schema and apply to the RDD to create a DataFrame:

```
scala> import org.apache.spark.sql.types.{StructType, StructField, StringType,
IntegerType};
scala> val schema = StructType(Array(
  StructField("code", StringType, false),
  StructField("description", StringType, false),
  StructField("total_emp", IntegerType, false),
  StructField("salary", IntegerType, false)))
scala> val df_07 = sqlContext.createDataFrame(rdd_07, schema)
```

### 5. Write DataFrame to a Parquet file:

```
scala> df_07.write.parquet("adl://sparkdemo.azuredatalakestore.net/sample_07.parquet")
```

The files are compressed with the default gzip compression.

## Accessing Avro Data Files From Spark SQL Applications

Spark SQL supports loading and saving DataFrames from and to a variety of [data sources](#). With the `spark-avro` library, you can process data encoded in the [Avro](#) format using Spark.

The `spark-avro` library supports most conversions between Spark SQL and Avro records, making Avro a first-class citizen in Spark. The library automatically performs the schema conversion. Spark SQL reads the data and converts it to Spark's internal representation; the Avro conversion is performed only during reading and writing data.

By default, when pointed at a directory, read methods silently skip any files that do not have the `.avro` extension. To include all files, set the `avro.mapred.ignore.inputs.without.extension` property to false. See [Configuring Spark Applications](#) on page 34.

### Writing Compressed Data Files

To set the compression type used on write, configure the `spark.sql.avro.compression.codec` property:

```
sqlContext.setConf("spark.sql.avro.compression.codec", "codec")
```

The supported `codec` values are `uncompressed`, `snappy`, and `deflate`. Specify the level to use with `deflate` compression in `spark.sql.avro.deflate.level`. For an example, see [Figure 6: Writing Deflate Compressed Records](#) on page 30.

### Accessing Partitioned Data Files

The `spark-avro` library supports writing and reading partitioned data. You pass the partition columns to the writer. For examples, see [Figure 7: Writing Partitioned Data](#) on page 30 and [Figure 8: Reading Partitioned Data](#) on page 31.

### Specifying Record Name and Namespace

Specify the record name and namespace to use when writing to disk by passing `recordName` and `recordNamespace` as optional parameters. For an example, see [Figure 9: Specifying a Record Name](#) on page 31.

## Spark SQL

You can write SQL queries to query a set of Avro files. First, create a temporary table pointing to the directory containing the Avro files. Then query the temporary table:

```
sqlContext.sql("CREATE TEMPORARY TABLE table_name\n  USING com.databricks.spark.avro OPTIONS (path \"input_dir\")")\ndf = sqlContext.sql("SELECT * FROM table_name")
```

### Avro to Spark SQL Conversion

The `spark-avro` library supports conversion for all Avro data types:

- `boolean` -> `BooleanType`
- `int` -> `IntegerType`
- `long` -> `LongType`
- `float` -> `FloatType`
- `double` -> `DoubleType`
- `bytes` -> `BinaryType`
- `string` -> `StringType`

- `record` -> `StructType`
- `enum` -> `StringType`
- `array` -> `ArrayType`
- `map` -> `MapType`
- `fixed` -> `BinaryType`

The `spark-avro` library supports the following union types:

- `union(int, long)` -> `LongType`
- `union(float, double)` -> `DoubleType`
- `union(any, null)` -> `any`

The library does not support complex union types.

All `doc`, `aliases`, and other fields are stripped when they are loaded into Spark.

### Spark SQL to Avro Conversion

Every Spark SQL type is supported:

- `BooleanType` -> `boolean`
- `IntegerType` -> `int`
- `LongType` -> `long`
- `FloatType` -> `float`
- `DoubleType` -> `double`
- `BinaryType` -> `bytes`
- `StringType` -> `string`
- `StructType` -> `record`
- `ArrayType` -> `array`
- `MapType` -> `map`
- `ByteType` -> `int`
- `ShortType` -> `int`
- `DecimalType` -> `string`
- `BinaryType` -> `bytes`
- `TimestampType` -> `long`

### Limitations

Because Spark is converting data types, keep the following in mind:

- Enumerated types are erased - Avro enumerated types become strings when they are read into Spark, because Spark does not support enumerated types.
- Unions on output - Spark writes everything as unions of the given type along with a null option.
- Avro schema changes - Spark reads everything into an internal representation. Even if you just read and then write the data, the schema for the output is different.
- Spark schema reordering - Spark reorders the elements in its schema when writing them to disk so that the elements being partitioned on are the last elements. For an example, see [Figure 7: Writing Partitioned Data](#) on page 30.

### API Examples

This section provides examples of using the `spark-avro` API in all supported languages.

### Scala Examples

The easiest way to work with Avro data files in Spark applications is by using the DataFrame API. The `spark-avro` library includes `avro` methods in `SQLContext` for reading and writing Avro files:

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)

// The Avro records are converted to Spark types, filtered, and
// then written back out as Avro records
val df = sqlContext.read.avro("input_dir")
df.filter("age > 5").write.avro("output_dir")
```

**Figure 4: Scala Example with Function**

You can also specify `"com.databricks.spark.avro"` in the `format` method:

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)

val df = sqlContext.read.format("com.databricks.spark.avro").load("input_dir")
df.filter("age > 5").write.format("com.databricks.spark.avro").save("output_dir")
```

**Figure 5: Scala Example with Format**

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)

// configuration to use deflate compression
sqlContext.setConf("spark.sql.avro.compression.codec", "deflate")
sqlContext.setConf("spark.sql.avro.deflate.level", "5")

val df = sqlContext.read.avro("input_dir")

// writes out compressed Avro records
df.write.avro("output_dir")
```

**Figure 6: Writing Deflate Compressed Records**

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)

import sqlContext.implicits._

val df = Seq(
  (2012, 8, "Batman", 9.8),
  (2012, 8, "Hero", 8.7),
  (2012, 7, "Robot", 5.5),
  (2011, 7, "Git", 2.0)).toDF("year", "month", "title", "rating")

df.write.partitionBy("year", "month").avro("output_dir")
```

**Figure 7: Writing Partitioned Data**

This code outputs a directory structure like this:

```
-rw-r--r--  3 hdfs supergroup          0 2015-11-03 14:58 /tmp/output/_SUCCESS
drwxr-xr-x  - hdfs supergroup          0 2015-11-03 14:58 /tmp/output/year=2011
drwxr-xr-x  - hdfs supergroup          0 2015-11-03 14:58 /tmp/output/year=2011/month=7
-rw-r--r--  3 hdfs supergroup        229 2015-11-03 14:58
/tmp/output/year=2011/month=7/part-r-00001-9b89f1bd-7cf8-4ba8-910f-7587c0de5a90.avro
drwxr-xr-x  - hdfs supergroup          0 2015-11-03 14:58 /tmp/output/year=2012
```

```
drwxr-xr-x  - hdfs supergroup      0 2015-11-03 14:58 /tmp/output/year=2012/month=7
-rw-r--r--  3 hdfs supergroup      231 2015-11-03 14:58
/tmp/output/year=2012/month=7/part-r-00001-9b89f1bd-7cf8-4ba8-910f-7587c0de5a90.avro
drwxr-xr-x  - hdfs supergroup      0 2015-11-03 14:58 /tmp/output/year=2012/month=8
-rw-r--r--  3 hdfs supergroup      246 2015-11-03 14:58
/tmp/output/year=2012/month=8/part-r-00000-9b89f1bd-7cf8-4ba8-910f-7587c0de5a90.avro
```

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)
val df = sqlContext.read.avro("input_dir")

df.printSchema()
df.filter("year = 2011").collect().foreach(println)
```

**Figure 8: Reading Partitioned Data**

This code automatically detects the partitioned data and joins it all, so it is treated the same as unpartitioned data. This also queries only the directory required, to decrease disk I/O.

```
root
|-- title: string (nullable = true)
|-- rating: double (nullable = true)
|-- year: integer (nullable = true)
|-- month: integer (nullable = true)
```

```
[Git,2.0,2011,7]
```

```
import com.databricks.spark.avro._

val sqlContext = new SQLContext(sc)
val df = sqlContext.read.avro("input_dir")

val name = "AvroTest"
val namespace = "com.cloudera.spark"
val parameters = Map("recordName" -> name, "recordNamespace" -> namespace)

df.write.options(parameters).avro("output_dir")
```

**Figure 9: Specifying a Record Name**

### Java Example

Use the DataFrame API to query Avro files in Java. This example is almost identical to [Figure 5: Scala Example with Format](#) on page 30:

```
import org.apache.spark.sql.*;

SQLContext sqlContext = new SQLContext(sc);

// Creates a DataFrame from a file
DataFrame df = sqlContext.read().format("com.databricks.spark.avro").load("input_dir");

// Saves the subset of the Avro records read in
df.filter("age > 5").write().format("com.databricks.spark.avro").save("output_dir");
```

### Python Example

Use the DataFrame API to query Avro files in Python. This example is almost identical to [Figure 5: Scala Example with Format](#) on page 30:

```
# Creates a DataFrame from a directory
df = sqlContext.read.format("com.databricks.spark.avro").load("input_dir")
```

```
# Saves the subset of the Avro records read in
df.where("age > 5").write.format("com.databricks.spark.avro").save("output_dir")
```

### Accessing Parquet Files From Spark SQL Applications

Spark SQL supports loading and saving DataFrames from and to a variety of [data sources](#) and has native support for Parquet. For information about Parquet, see [Using Apache Parquet Data Files with CDH](#).

To read Parquet files in Spark SQL, use the `SQLContext.read.parquet("path")` method.

To write Parquet files in Spark SQL, use the `DataFrame.write.parquet("path")` method.

To set the compression type, configure the `spark.sql.parquet.compression.codec` property:

```
sqlContext.setConf("spark.sql.parquet.compression.codec", "codec")
```

The supported `codec` values are: `uncompressed`, `gzip`, `lzo`, and `snappy`. The default is `gzip`.

Currently, Spark looks up column data from Parquet files by using the names stored within the data files. This is different than the default Parquet lookup behavior of Impala and Hive. If data files are produced with a different physical layout due to added or reordered columns, Spark still decodes the column data correctly. If the logical layout of the table is changed in the metastore database, for example through an `ALTER TABLE CHANGE` statement that renames a column, Spark still looks for the data using the now-nonexistent column name and returns `NULLS` when it cannot locate the column values. To avoid behavior differences between Spark and Impala or Hive when modifying Parquet tables, avoid renaming columns, or use Impala, Hive, or a `CREATE TABLE AS SELECT` statement to produce a new table and new set of Parquet files containing embedded column names that match the new layout.

For an example of writing Parquet files to Amazon S3, see [Examples of Accessing S3 Data from Spark](#) on page 25. For a similar example for Microsoft ADLS, see [Examples of Accessing ADLS Data from Spark](#) on page 27.

## Building Spark Applications

You can use [Apache Maven](#) to build Spark applications developed using Java and Scala.

For the Maven properties of CDH 5 components, see [Using the CDH 5 Maven Repository](#). For the Maven properties of Kafka, see [Maven Artifacts for Kafka](#).

### Building Applications

Follow these best practices when building Spark Scala and Java applications:

- Compile against the same version of Spark that you are running.
- Build a single assembly JAR ("Uber" JAR) that includes all dependencies. In Maven, add the Maven assembly plug-in to build a JAR containing all dependencies:

```
<plugin>
<artifactId>maven-assembly-plugin</artifactId>
<configuration>
  <descriptorRefs>
    <descriptorRef>jar-with-dependencies</descriptorRef>
  </descriptorRefs>
</configuration>
<executions>
  <execution>
    <id>make-assembly</id>
    <phase>package</phase>
    <goals>
      <goal>single</goal>
    </goals>
  </execution>
</executions>
</plugin>
```



This plug-in manages the merge procedure for all available JAR files during the build. Exclude Spark, Hadoop, and Kafka (CDH 5.5 and higher) classes from the assembly JAR, because they are already available on the cluster and contained in the runtime classpath. In Maven, specify Spark, Hadoop, and Kafka dependencies with scope `provided`. For example:

```
<dependency>
  <groupId>org.apache.spark</groupId>
  <artifactId>spark-core_2.10</artifactId>
  <version>1.5.0-cdh5.5.0</version>
  <scope>provided</scope>
</dependency>
```

## Building Reusable Modules

Using existing Scala and Java classes inside the Spark shell requires an effective deployment procedure and dependency management. For simple and reliable reuse of Scala and Java classes and complete third-party libraries, you can use a **module**, which is a self-contained artifact created by Maven. This module can be shared by multiple users. This topic shows how to use Maven to create a module containing all dependencies.

### Create a Maven Project

1. Use Maven to generate the project directory:

```
$ mvn archetype:generate -DgroupId=com.mycompany -DartifactId=mylibrary \
-DarchetypeArtifactId=maven-archetype-quickstart -DinteractiveMode=false
```

### Download and Deploy Third-Party Libraries

1. Prepare a location for all third-party libraries that are not available through [Maven Central](#) but are required for the project:

```
$ mkdir libs
$ cd libs
```

2. Download the required artifacts.
3. Use Maven to deploy the library JAR.
4. Add the library to the dependencies section of the POM file.
5. Repeat steps 2-4 for each library. For example, to add the [JIDT library](#):

- a. Download and decompress the zip file:

```
$ curl http://lizier.me/joseph/software/jidt/download.php?file=infodynamics-dist-1.3.zip
> infodynamics-dist.1.3.zip
$ unzip infodynamics-dist-1.3.zip
```

- b. Deploy the library JAR:

```
$ mvn deploy:deploy-file \
-Durl=file:///HOME/.m2/repository -Dfile=libs/infodynamics.jar \
-DgroupId=org.jlizier.infodynamics -DartifactId=infodynamics -Dpackaging=jar -Dversion=1.3
```

- c. Add the library to the dependencies section of the POM file:

```
<dependency>
  <groupId>org.jlizier.infodynamics</groupId>
  <artifactId>infodynamics</artifactId>
  <version>1.3</version>
</dependency>
```

6. Add the [Maven assembly plug-in](#) to the `plugins` section in the `pom.xml` file.
7. Package the library JARs in a module:

```
$ mvn clean package
```

### Run and Test the Spark Module

1. Run the Spark shell, providing the module JAR in the `--jars` option:

```
$ spark-shell --jars target/mylibrary-1.0-SNAPSHOT-jar-with-dependencies.jar
```

2. In the Environment tab of the [Spark Web UI](#) application ([http://driver\\_host:4040/environment/](http://driver_host:4040/environment/)), validate that the `spark.jars` property contains the library. For example:

#### Environment

##### Runtime Information

Name	Value
Java Home	/usr/java/jdk1.7.0_67-cloudera/jre
Java Version	1.7.0_67 (Oracle Corporation)
Scala Version	version 2.10.4

##### Spark Properties

Name	Value
spark.serializer	org.apache.spark.serializer.KryoSerializer
spark.driver.host	172.26.26.126
spark.eventLog.enabled	true
spark.driver.port	39021
spark.shuffle.service.enabled	true
spark.driver.extraLibraryPath	/opt/cloudera/parcels/CDH-5.5.1-1.cdh5.5.1.p0.11/lib/hadoop/lib/native
spark.repl.class.uri	http://172.26.26.126:52069
spark.jars	file:/var/lib/hadoop-hdfs/mylibrary/target/mylibrary-1.0-SNAPSHOT-jar-with-dependencies.jar

3. In the Spark shell, test that you can import some of the required Java classes from the third-party library. For example, if you use the JIDT library, import `MatrixUtils`:

```
$ spark-shell
...
scala> import infodynamics.utils.MatrixUtils;
```

## Packaging Different Versions of Libraries with an Application

To use a version of a library in your application that is different than the version of that library that is shipped with Spark, use the [Apache Maven Shade Plugin](#). This process is technically known as “relocation”, and often referred to as “shading”.

See [Relocating Classes](#) for an example.

## Configuring Spark Applications

You can specify Spark application configuration properties as follows:

- Pass properties using the `--conf` command-line switch; for example:

```
spark-submit \
--class com.cloudera.example.YarnExample \
--master yarn \
--deploy-mode cluster \
--conf "spark.eventLog.dir=hdfs:///user/spark/eventlog" \
lib/yarn-example.jar \
10
```

- Specify properties in `spark-defaults.conf`. See [Configuring Spark Application Properties in spark-defaults.conf](#) on page 35.
- Pass properties directly to the `SparkConf` used to create the `SparkContext` in your Spark application; for example:
  - Scala:

```
val conf = new SparkConf().set("spark.dynamicAllocation.initialExecutors", "5")
val sc = new SparkContext(conf)
```

- Python:

```
from pyspark import SparkConf, SparkContext
from pyspark.sql import SQLContext
conf = (SparkConf().setAppName('Application name'))
conf.set('spark.hadoop.avro.mapred.ignore.inputs.without.extension', 'false')
sc = SparkContext(conf = conf)
sqlContext = SQLContext(sc)
```

The order of precedence in configuration properties is:

1. Properties passed to `SparkConf`.
2. Arguments passed to `spark-submit`, `spark-shell`, or `pyspark`.
3. Properties set in `spark-defaults.conf`.

For more information, see [Spark Configuration](#).

## Configuring Spark Application Properties in spark-defaults.conf

Specify properties in the `spark-defaults.conf` file in the form `property value`.

You create a comment by adding a hash mark ( # ) at the beginning of a line. You cannot add comments to the end or middle of a line.

This example shows a `spark-defaults.conf` file:

```
spark.master      spark://mysparkmaster.acme.com:7077
spark.eventLog.enabled true
spark.eventLog.dir hdfs:///user/spark/eventlog
# Set spark executor memory
spark.executor.memory 2g
spark.logConf      true
```

Cloudera recommends placing configuration properties that you want to use for every application in `spark-defaults.conf`. See [Application Properties](#) for more information.

### Configuring Properties in spark-defaults.conf Using Cloudera Manager

Configure properties for all Spark applications in `spark-defaults.conf` as follows:

1. Go to the Spark service.
2. Click the **Configuration** tab.
3. Select **Scope** > **Gateway**.
4. Select **Category** > **Advanced**.
5. Locate the **Spark Client Advanced Configuration Snippet (Safety Valve) for spark-conf/spark-defaults.conf** property.
6. Specify properties described in [Application Properties](#).

If more than one role group applies to this configuration, edit the value for the appropriate role group. See .

7. Click **Save Changes** to commit the changes.
8. Deploy the client configuration.

### Configuring Properties in spark-defaults.conf Using the Command Line

**Important:**

- Follow these command-line instructions on systems that do not use Cloudera Manager.
- This information applies specifically to CDH 5.12.x. See [Cloudera Documentation](#) for information specific to other releases.

To configure properties for all Spark applications using the command line, edit the file `SPARK_HOME/conf/spark-defaults.conf`.

### Configuring Spark Application Logging Properties

You configure Spark application logging properties in a `log4j.properties` file.

#### Configuring Logging Properties Using Cloudera Manager

To configure only the logging threshold level, follow the procedure in [Configuring Logging Thresholds](#). To configure any other logging property, do the following:

1. Go to the Spark service.
2. Click the **Configuration** tab.
3. Select **Scope > Gateway**.
4. Select **Category > Advanced**.
5. Locate the **Spark Client Advanced Configuration Snippet (Safety Valve) for spark-conf/log4j.properties** property.
6. Specify log4j properties.

If more than one role group applies to this configuration, edit the value for the appropriate role group. See [Modifying Configuration Properties](#).

7. Click **Save Changes** to commit the changes.
8. Deploy the client configuration.

#### Configuring Logging Properties Using the Command Line

**Important:**

- Follow these command-line instructions on systems that do not use Cloudera Manager.
- This information applies specifically to CDH 5.12.x. See [Cloudera Documentation](#) for information specific to other releases.

To specify logging properties for all users on a machine by using the command line, edit the file `SPARK_HOME/conf/log4j.properties`. To set it just for yourself or for a specific application, copy `SPARK_HOME/conf/log4j.properties.template` to `log4j.properties` in your working directory or any directory in your application's classpath.

## Running Spark Applications

You can run Spark applications locally or distributed across a cluster, either by using an [interactive shell](#) or by [submitting an application](#). Running Spark applications interactively is commonly performed during the data-exploration phase and for ad hoc analysis.

Because of a limitation in the way Scala compiles code, some applications with nested definitions running in an interactive shell may encounter a `Task not serializable` exception. Cloudera recommends submitting these applications.

To run applications distributed across a cluster, Spark requires a cluster manager. Cloudera supports two cluster managers: YARN and Spark Standalone. When run on YARN, Spark application processes are managed by the YARN ResourceManager and NodeManager roles. When run on Spark Standalone, Spark application processes are managed by Spark Master and Worker roles.

In CDH 5, Cloudera recommends running Spark applications on a [YARN](#) cluster manager instead of on a Spark Standalone cluster manager, for the following benefits:

- You can dynamically share and centrally configure the same pool of cluster resources among all frameworks that run on YARN.
- You can use [all the features of YARN schedulers](#) for categorizing, isolating, and prioritizing workloads.
- You choose the number of executors to use; in contrast, Spark Standalone requires each application to run an executor on every host in the cluster.
- Spark can run against Kerberos-enabled Hadoop clusters and use [secure authentication](#) between its processes.

For information on monitoring Spark applications, see [Monitoring Spark Applications](#).

## Submitting Spark Applications

To submit an application consisting of a Python file or a compiled and packaged Java or Spark JAR, use the `spark-submit` script.

### spark-submit Syntax

```
spark-submit --option value \  
  application jar | python file [application arguments]
```

[Example: Running SparkPi on YARN](#) on page 42 demonstrates how to run one of the sample applications, `SparkPi`, packaged with Spark. It computes an approximation to the value of pi.

**Table 1: spark-submit Arguments**

Option	Description
<i>application jar</i>	Path to a JAR file containing a Spark application and all dependencies. For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<i>python file</i>	Path to a Python file containing a Spark application. For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<i>application arguments</i>	Arguments to pass to the main method of your main class.

## spark-submit Options

You specify `spark-submit` options using the form `--optionvalue` instead of `--option=value`. (Use a space instead of an equals sign.)

Option	Description
<code>class</code>	For Java and Scala applications, the fully qualified classname of the class containing the main method of the application. For example, <code>org.apache.spark.examples.SparkPi</code> .
<code>conf</code>	Spark <a href="#">configuration property</a> in <code>key=value</code> format. For values that contain spaces, surround " <code>key=value</code> " with quotes (as shown).
<code>deploy-mode</code>	Deployment mode: <b>cluster</b> and <b>client</b> . In cluster mode, the driver runs on worker hosts. In client mode, the driver runs locally as an external client. Use cluster mode with production jobs; client mode is more appropriate for interactive and debugging uses, where you want to see your application output immediately. To see the effect of the deployment mode when running on YARN, see <a href="#">Deployment Modes</a> on page 39.  Default: <code>client</code> .
<code>driver-class-path</code>	Configuration and classpath entries to pass to the driver. JARs added with <code>--jars</code> are automatically included in the classpath.
<code>driver-cores</code>	Number of cores used by the driver in cluster mode.  Default: 1.
<code>driver-memory</code>	Maximum heap size (represented as a JVM string; for example <code>1024m</code> , <code>2g</code> , and so on) to allocate to the driver. Alternatively, you can use the <code>spark.driver.memory</code> property.
<code>files</code>	Comma-separated list of files to be placed in the working directory of each executor. For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<code>jars</code>	Additional JARs to be loaded in the classpath of drivers and executors in cluster mode or in the executor classpath in client mode. For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<code>master</code>	The <a href="#">location</a> to run the application.
<code>packages</code>	Comma-separated list of Maven coordinates of JARs to include on the driver and executor classpaths. The local Maven, Maven central, and remote repositories specified in <code>repositories</code> are searched in that order. The format for the coordinates is <code>groupId:artifactId:version</code> .
<code>py-files</code>	Comma-separated list of <code>.zip</code> , <code>.egg</code> , or <code>.py</code> files to place on <code>PYTHONPATH</code> . For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<code>repositories</code>	Comma-separated list of remote repositories to search for the Maven coordinates specified in <code>packages</code> .

Table 2: Master Values

Master	Description
local	Run Spark locally with one worker thread (that is, no parallelism).
local[K]	Run Spark locally with $K$ worker threads. (Ideally, set this to the number of cores on your host.)
local[*]	Run Spark locally with as many worker threads as logical cores on your host.
spark://host:port	Run using the Spark Standalone cluster manager with the Spark Master on the specified host and port (7077 by default).
yarn	Run using a YARN cluster manager. The cluster location is determined by <code>HADOOP_CONF_DIR</code> or <code>YARN_CONF_DIR</code> . See <a href="#">Configuring the Environment</a> on page 41.

## Cluster Execution Overview

Spark orchestrates its operations through the driver program. When the driver program is run, the Spark framework initializes executor processes on the cluster hosts that process your data. The following occurs when you submit a Spark application to a cluster:

1. The driver is launched and invokes the `main` method in the Spark application.
2. The driver requests resources from the cluster manager to launch executors.
3. The cluster manager launches executors on behalf of the driver program.
4. The driver runs the application. Based on the transformations and actions in the application, the driver sends tasks to executors.
5. Tasks are run on executors to compute and save results.
6. If [dynamic allocation](#) is enabled, after executors are idle for a specified period, they are released.
7. When driver's `main` method exits or calls `SparkContext.stop`, it terminates any outstanding executors and releases resources from the cluster manager.

## Running Spark Applications on YARN

When Spark applications run on a YARN cluster manager, resource management, scheduling, and [security](#) are controlled by YARN.

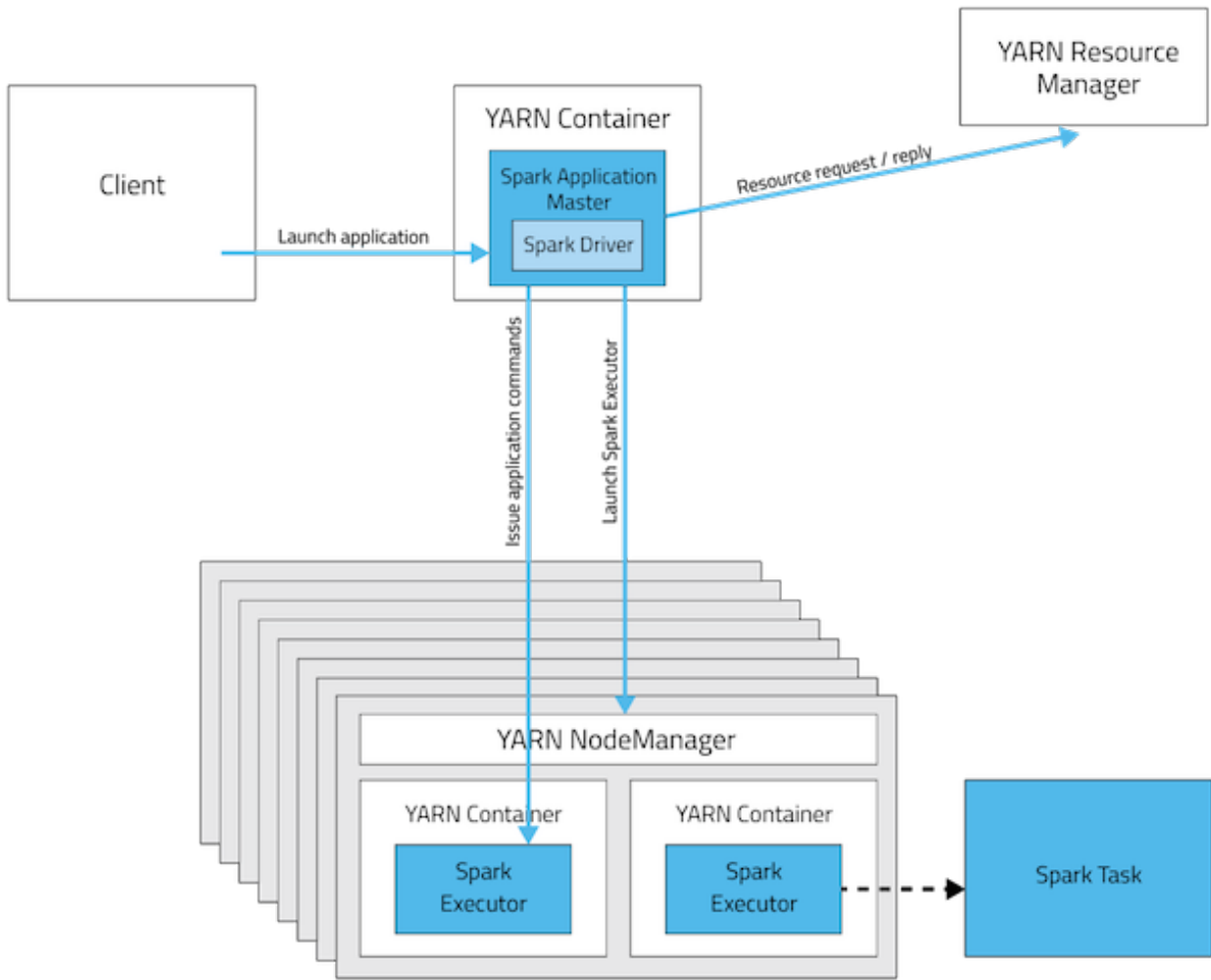
### Deployment Modes

In YARN, each application instance has an `ApplicationMaster` process, which is the first container started for that application. The application is responsible for requesting resources from the `ResourceManager`. Once the resources are allocated, the application instructs `NodeManagers` to start containers on its behalf. `ApplicationMasters` eliminate the need for an active client: the process starting the application can terminate, and coordination continues from a process managed by YARN running on the cluster.

For the option to specify the deployment mode, see [spark-submit Options](#) on page 38.

#### Cluster Deployment Mode

In cluster mode, the Spark driver runs in the `ApplicationMaster` on a cluster host. A single process in a YARN container is responsible for both driving the application and requesting resources from YARN. The client that launches the application does not need to run for the lifetime of the application.



Cluster mode is not well suited to using Spark interactively. Spark applications that require user input, such as `spark-shell` and `pyspark`, require the Spark driver to run inside the client process that initiates the Spark application.

### Client Deployment Mode

In client mode, the Spark driver runs on the host where the job is submitted. The ApplicationMaster is responsible only for requesting executor containers from YARN. After the containers start, the client communicates with the containers to schedule work.



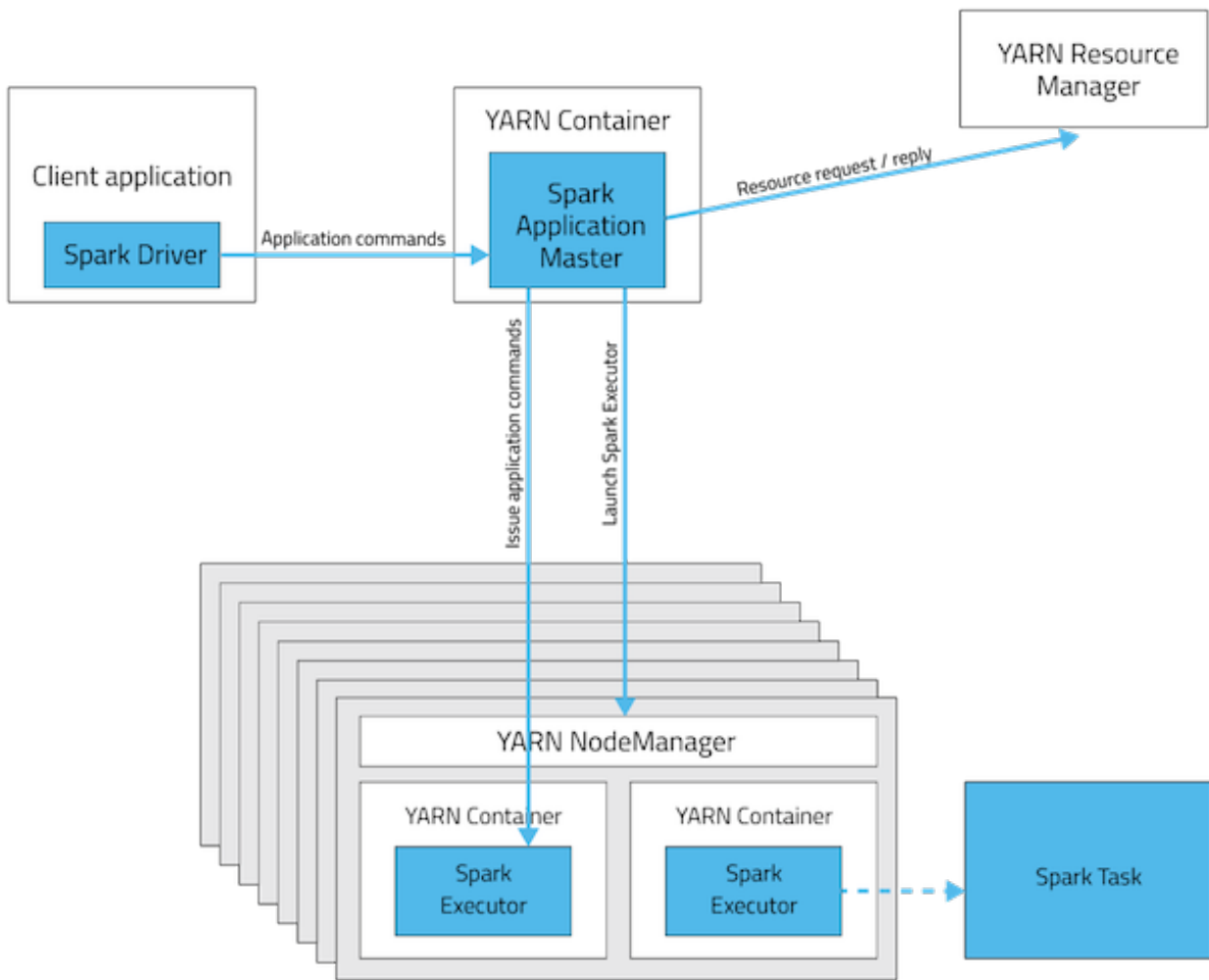


Table 3: Deployment Mode Summary

Mode	YARN Client Mode	YARN Cluster Mode
Driver runs in	Client	ApplicationMaster
Requests resources	ApplicationMaster	ApplicationMaster
Starts executor processes	YARN NodeManager	YARN NodeManager
Persistent services	YARN ResourceManager and NodeManagers	YARN ResourceManager and NodeManagers
Supports Spark Shell	Yes	No

## Configuring the Environment

Spark requires that the `HADOOP_CONF_DIR` or `YARN_CONF_DIR` environment variable point to the directory containing the client-side configuration files for the cluster. These configurations are used to write to HDFS and connect to the YARN ResourceManager. If you are using a Cloudera Manager deployment, these variables are configured automatically. If you are using an unmanaged deployment, ensure that you set the variables as described in [Running Spark on YARN](#).

## Running a Spark Shell Application on YARN

To run the `spark-shell` or `pyspark` client on YARN, use the `--master yarn --deploy-mode client` flags when you start the application.

## Running Spark Applications

If you are using a Cloudera Manager deployment, these properties are configured automatically.

### Submitting Spark Applications to YARN

To submit an application to YARN, use the `spark-submit` script and specify the `--master yarn` flag. For other `spark-submit` options, see [Table 1: spark-submit Arguments](#) on page 37.

### Monitoring and Debugging Spark Applications

To obtain information about Spark application behavior you can consult YARN logs and the Spark web application UI. These two methods provide complementary information. For information how to view logs created by Spark applications and the Spark web application UI, see [Monitoring Spark Applications](#).

### Example: Running SparkPi on YARN

These examples demonstrate how to use `spark-submit` to submit the SparkPi [Spark example application](#) with various options. In the examples, the argument passed after the JAR controls how close to pi the approximation should be.

In a CDH deployment, `SPARK_HOME` defaults to `/usr/lib/spark` in package installations and `/opt/cloudera/parcels/CDH/lib/spark` in parcel installations. In a Cloudera Manager deployment, the shells are also available from `/usr/bin`.

#### Running SparkPi in YARN Cluster Mode

To run SparkPi in cluster mode:

- CDH 5.2 and lower

```
spark-submit --class org.apache.spark.examples.SparkPi --master yarn \  
--deploy-mode cluster $SPARK_HOME/examples/lib/spark-examples.jar 10
```

- CDH 5.3 and higher

```
spark-submit --class org.apache.spark.examples.SparkPi --master yarn \  
--deploy-mode cluster $SPARK_HOME/lib/spark-examples.jar 10
```

The command prints status until the job finishes or you press `control-C`. Terminating the `spark-submit` process in cluster mode does not terminate the Spark application as it does in client mode. To monitor the status of the running application, run `yarn application -list`.

#### Running SparkPi in YARN Client Mode

To run SparkPi in client mode:

- CDH 5.2 and lower

```
spark-submit --class org.apache.spark.examples.SparkPi --master yarn \  
--deploy-mode client $SPARK_HOME/examples/lib/spark-examples.jar 10
```

- CDH 5.3 and higher

```
spark-submit --class org.apache.spark.examples.SparkPi --master yarn \  
--deploy-mode client $SPARK_HOME/lib/spark-examples.jar 10
```

#### Running Python SparkPi in YARN Cluster Mode

1. Unpack the Python examples archive:

```
sudo su gunzip $SPARK_HOME/lib/python.tar.gz  
sudo su tar xvf $SPARK_HOME/lib/python.tar
```

2. Run the `pi.py` file:

```
spark-submit --master yarn --deploy-mode cluster $SPARK_HOME/lib/pi.py 10
```

## Configuring Spark on YARN Applications

In addition to [spark-submit Options](#) on page 38, options for running Spark applications on YARN are listed in [Table 4: spark-submit on YARN Options](#) on page 43.

**Table 4: spark-submit on YARN Options**

Option	Description
<code>archives</code>	Comma-separated list of archives to be extracted into the working directory of each executor. For the <b>client</b> deployment mode, the path must point to a local file. For the <b>cluster</b> deployment mode, the path must be globally visible inside your cluster; see <a href="#">Advanced Dependency Management</a> .
<code>executor-cores</code>	Number of processor cores to allocate on each executor. Alternatively, you can use the <code>spark.executor.cores</code> property.
<code>executor-memory</code>	Maximum heap size to allocate to each executor. Alternatively, you can use the <code>spark.executor.memory</code> property.
<code>num-executors</code>	Total number of YARN containers to allocate for this application. Alternatively, you can use the <code>spark.executor.instances</code> property.
<code>queue</code>	YARN queue to submit to. For more information, see <a href="#">Assigning Applications and Queries to Resource Pools</a> . Default: <code>default</code> .

During initial installation, Cloudera Manager tunes properties according to your cluster environment.

In addition to the command-line options, the following properties are available:

Property	Description
<code>spark.yarn.driver.memoryOverhead</code>	Amount of extra off-heap memory that can be requested from YARN per driver. Combined with <code>spark.driver.memory</code> , this is the total memory that YARN can use to create a JVM for a driver process.
<code>spark.yarn.executor.memoryOverhead</code>	Amount of extra off-heap memory that can be requested from YARN, per executor process. Combined with <code>spark.executor.memory</code> , this is the total memory YARN can use to create a JVM for an executor process.

## Dynamic Allocation

Dynamic allocation allows Spark to dynamically scale the cluster resources allocated to your application based on the workload. When dynamic allocation is enabled and a Spark application has a backlog of pending tasks, it can request executors. When the application becomes idle, its executors are released and can be acquired by other applications.

Starting with CDH 5.5, dynamic allocation is enabled by default. [Table 5: Dynamic Allocation Properties](#) on page 44 describes properties to control dynamic allocation.

If you set `spark.dynamicAllocation.enabled` to `false` or use the `--num-executors` command-line argument or set the `spark.executor.instances` property when running a Spark application, dynamic allocation is disabled. For more information on how dynamic allocation works, see [resource allocation policy](#).

When Spark dynamic resource allocation is enabled, all resources are allocated to the first submitted job available causing subsequent applications to be queued up. To allow applications to acquire resources in parallel, allocate

resources to pools and run the applications in those pools and enable applications running in pools to be preempted. See [Dynamic Resource Pools](#).

If you are using Spark Streaming, see the recommendation in [Spark Streaming and Dynamic Allocation](#) on page 13.

**Table 5: Dynamic Allocation Properties**

Property	Description
<code>spark.dynamicAllocation.executorIdleTimeout</code>	The length of time executor must be idle before it is removed. Default: 60 s.
<code>spark.dynamicAllocation.enabled</code>	Whether dynamic allocation is enabled. Default: true.
<code>spark.dynamicAllocation.initialExecutors</code>	The initial number of executors for a Spark application when dynamic allocation is enabled. Default: 1.
<code>spark.dynamicAllocation.minExecutors</code>	The lower bound for the number of executors. Default: 0.
<code>spark.dynamicAllocation.maxExecutors</code>	The upper bound for the number of executors. Default: <code>Integer.MAX_VALUE</code> .
<code>spark.dynamicAllocation.schedulerBacklogTimeout</code>	The length of time pending tasks must be backlogged before new executors are requested. Default: 1 s.

### Optimizing YARN Mode in Unmanaged CDH Deployments

In CDH deployments not managed by Cloudera Manager, Spark copies the Spark assembly JAR file to HDFS each time you run `spark-submit`. You can avoid this copying by doing one of the following:

- Set `spark.yarn.jar` to the local path to the assembly JAR:  
`local:/usr/lib/spark/lib/spark-assembly.jar`.
- Upload the JAR and configure the JAR location:

1. Manually upload the Spark assembly JAR file to HDFS:

```
$ hdfs dfs -mkdir -p /user/spark/share/lib
$ hdfs dfs -put $SPARK_HOME/assembly/lib/spark-assembly_*.jar
/user/spark/share/lib/spark-assembly.jar
```

You must manually upload the JAR each time you upgrade Spark to a new minor CDH release.

2. Set `spark.yarn.jar` to the HDFS path:

```
spark.yarn.jar=hdfs://namenode:8020/user/spark/share/lib/spark-assembly.jar
```

### Using PySpark

Apache Spark provides APIs in non-JVM languages such as Python. Many data scientists use Python because it has a rich variety of numerical libraries with a statistical, machine-learning, or optimization focus.

## Running Spark Python Applications

Accessing Spark with Java and Scala offers many advantages: platform independence by running inside the JVM, self-contained packaging of code and its dependencies into JAR files, and higher performance because Spark itself runs in the JVM. You lose these advantages when using the Spark Python API.

Managing dependencies and making them available for Python jobs on a cluster can be difficult. To determine which dependencies are required on the cluster, you must understand that Spark code applications run in Spark executor processes distributed throughout the [cluster](#). If the Python transformations you define use any third-party libraries, such as [NumPy](#) or [nlTK](#), Spark executors require access to those libraries when they run on remote executors.

### Setting the Python Path



**Note:** When Anaconda is installed, it automatically writes its values for `spark.yarn.appMasterEnv.PYSPARK_DRIVER_PYTHON` and `spark.yarn.appMasterEnv.PYSPARK_PYTHON` into `spark-defaults.conf`. If Anaconda is installed, values for these parameters set in Cloudera Manager are not used.

After the Python packages you want to use are in a consistent location on your cluster, set the appropriate environment variables to the path to your Python executables as follows:

- Specify the Python binary to be used by the Spark driver and executors by setting the `PYSPARK_PYTHON` environment variable in `spark-env.sh`. You can also override the driver Python binary path individually using the `PYSPARK_DRIVER_PYTHON` environment variable. These settings apply regardless of whether you are using `yarn-client` or `yarn-cluster` mode.

Make sure to set the variables using a conditional `export` statement. For example:

```
if [ "${PYSPARK_PYTHON}" = "python" ]; then
  export PYSPARK_PYTHON=<path_to_python_executable>
fi
```

This statement sets the `PYSPARK_PYTHON` environment variable to `<path_to_python_executable>` if it is set to `python`. This is necessary because the `pyspark` script sets `PYSPARK_PYTHON` to `python` if it is not already set to something else. If the user has set `PYSPARK_PYTHON` to something else, both `pyspark` and this example preserve their setting.

Here are some example Python binary paths:

- Anaconda parcel: `/opt/cloudera/parcels/Anaconda/bin/python`
- Virtual environment: `/path/to/virtualenv/bin/python`
- If you are using `yarn-cluster` mode, in addition to the above, also set `spark.yarn.appMasterEnv.PYSPARK_PYTHON` and `spark.yarn.appMasterEnv.PYSPARK_DRIVER_PYTHON` in `spark-defaults.conf` (using the safety valve) to the same paths.

In Cloudera Manager, set environment variables in `spark-env.sh` and `spark-defaults.conf` as follows:

**Minimum Required Role:** [Configurator](#) (also provided by **Cluster Administrator**, **Full Administrator**)

1. Go to the Spark service. If you are using the CDS 2 parcel to run a Spark 2 service, you can set the Python path separately by following these same steps for the Spark 2 service. This is useful if you want to use a different Python version for your Spark 2 service.
2. Click the **Configuration** tab.
3. Search for **Spark Service Advanced Configuration Snippet (Safety Valve) for spark-env.sh**.
4. Add the `spark-env.sh` variables to the property.
5. Search for **Spark Client Advanced Configuration Snippet (Safety Valve) for spark-defaults.conf**.
6. Add the `spark-defaults.conf` variables to the property.
7. Click **Save Changes** to commit the changes.

8. Restart the service.
9. Deploy the client configuration.

### Self-Contained Dependencies

In a common situation, a custom Python package contains functionality you want to apply to each element of an RDD. You can use a `map()` function call to make sure that each Spark executor imports the required package, before calling any of the functions inside that package. The following shows a simple example:

```
def import_my_special_package(x):
    import my.special.package
    return x

int_rdd = sc.parallelize([1, 2, 3, 4])
int_rdd.map(lambda x: import_my_special_package(x))
int_rdd.collect()
```

You create a simple RDD of four elements and call it `int_rdd`. Then you apply the function `import_my_special_package` to every element of the `int_rdd`. This function imports `my.special.package` and then returns the original argument passed to it. Calling this function as part of a `map()` operation ensures that each Spark executor imports `my.special.package` when needed.

If you only need a single file inside `my.special.package`, you can direct Spark to make this available to all executors by using the `--py-files` option in your `spark-submit` command and specifying the local path to the file. You can also specify this programmatically by using the `sc.addPyFiles()` function. If you use functionality from a package that spans multiple files, you can [make an egg for the package](#), because the `--py-files` flag also accepts a path to an egg file.

If you have a *self-contained* dependency, you can make the required Python dependency available to your executors in two ways:

- If you depend on only a single file, you can use the `--py-files` command-line option, or programmatically add the file to the `SparkContext` with `sc.addPyFiles(path)` and specify the local path to that Python file.
- If you have a dependency on a self-contained module (a module with no other dependencies), you can create an egg or zip file of that module and use either the `--py-files` command-line option or programmatically add the module to the `SparkContext` with `sc.addPyFiles(path)` and specify the local path to that egg or zip file.

### Complex Dependencies

Some operations rely on complex packages that also have many dependencies. For example, the following code snippet imports the Python [pandas](#) data analysis library:

```
def import_pandas(x):
    import pandas
    return x

int_rdd = sc.parallelize([1, 2, 3, 4])
int_rdd.map(lambda x: import_pandas(x))
int_rdd.collect()
```

`pandas` depends on NumPy, SciPy, and many other packages. Although `pandas` is too complex to distribute as a `*.py` file, you can create an egg for it and its dependencies and send that to executors.

### Limitations of Distributing Egg Files

In both self-contained and complex dependency scenarios, sending egg files is problematic because packages that contain native code must be compiled for the specific host on which it will run. When doing distributed computing with industry-standard hardware, you must assume that the hardware is heterogeneous. However, because of the required C compilation, a Python egg built on a client host is specific to the client CPU architecture. Therefore, distributing an egg for complex, compiled packages like NumPy, SciPy, and `pandas` often fails. Instead of distributing egg files you

should install the required Python packages on each host of the cluster and specify the path to the Python binaries for the worker hosts to use.

### Installing and Maintaining Python Environments

Installing and maintaining Python environments can be complex but allows you to use the full Python package ecosystem. Ideally, a sysadmin installs the [Anaconda distribution](#) or sets up a [virtual environment](#) on every host of your cluster with your required dependencies.

If you are using Cloudera Manager, you can deploy the [Anaconda distribution as a parcel](#) as follows:

**Minimum Required Role:** [Cluster Administrator](#) (also provided by [Full Administrator](#))

1. Add the following URL <https://repo.anaconda.com/pkg/misc/parcels/> to the Remote Parcel Repository URLs as described in [Parcel Configuration Settings](#).



**Important:** Spark 1.6, which is included with CDH, does not support Python version 3.6. To ensure compatibility with Spark 1.6, use Anaconda 5.0.1 or earlier, which is available from the [Anaconda parcel archives page](#).

2. Download, distribute, and activate the parcel as described in [Managing Parcels](#).

Anaconda is installed in `parcel_directory/Anaconda`, where `parcel_directory` is `/opt/cloudera/parcels` by default, but can be changed in parcel configuration settings. The Anaconda parcel is supported by [Continuum Analytics](#).

If you are not using Cloudera Manager, you can set up a virtual environment on your cluster by running commands on each host using [Cluster SSH](#), [Parallel SSH](#), or [Fabric](#). Assuming each host has Python and `pip` installed, use the following commands to set up the standard data stack (NumPy, SciPy, scikit-learn, and pandas) in a virtual environment on a RHEL 6-compatible system:

```
# Install python-devel:
yum install python-devel

# Install non-Python dependencies required by SciPy that are not installed by default:
yum install atlas atlas-devel lapack-devel blas-devel

# install virtualenv:
pip install virtualenv

# create a new virtualenv:
virtualenv mynewenv

# activate the virtualenv:
source mynewenv/bin/activate

# install packages in mynewenv:
pip install numpy
pip install scipy
pip install scikit-learn
pip install pandas
```

## Spark and IPython and Jupyter Notebooks

[IPython Notebook](#) is a system similar to Mathematica that allows you to create “executable documents”. IPython Notebooks integrate formatted text (Markdown), executable code (Python), mathematical formulas (LaTeX), and graphics and visualizations ([matplotlib](#)) into a single document that captures the flow of an exploration and can be exported as a formatted report or an executable script.

**Important:**

Cloudera does not support IPython or Jupyter notebooks on CDH. The instructions that were formerly here have been removed to avoid confusion about the support status of these components.

Starting with CDH 5.11, Cloudera delivers its own product called the [Cloudera Data Science Workbench](#), which enables fast, easy, and secure self-service data science for the enterprise.

## Tuning Spark Applications

This topic describes various aspects in tuning Spark applications. During tuning you should monitor application behavior to determine the effect of tuning actions.

For information on monitoring Spark applications, see [Monitoring Spark Applications](#).

### Shuffle Overview

A Spark dataset comprises a fixed number of partitions, each of which comprises a number of records. For the datasets returned by **narrow** transformations, such as `map` and `filter`, the records required to compute the records in a single partition reside in a *single partition* in the parent dataset. Each object is only dependent on a single object in the parent. Operations such as `coalesce` can result in a task processing multiple input partitions, but the transformation is still considered narrow because the input records used to compute any single output record can still only reside in a limited subset of the partitions.

Spark also supports transformations with **wide** dependencies, such as `groupByKey` and `reduceByKey`. In these dependencies, the data required to compute the records in a single partition can reside in *many partitions* of the parent dataset. To perform these transformations, all of the tuples with the same key must end up in the same partition, processed by the same task. To satisfy this requirement, Spark performs a *shuffle*, which transfers data around the cluster and results in a new [stage](#) with a new set of partitions.

For example, consider the following code:

```
sc.textFile("someFile.txt").map(mapFunc).flatMap(flatMapFunc).filter(filterFunc).count()
```

It runs a single action, `count`, which depends on a sequence of three transformations on a dataset derived from a text file. This code runs in a single stage, because none of the outputs of these three transformations depend on data that comes from different partitions than their inputs.

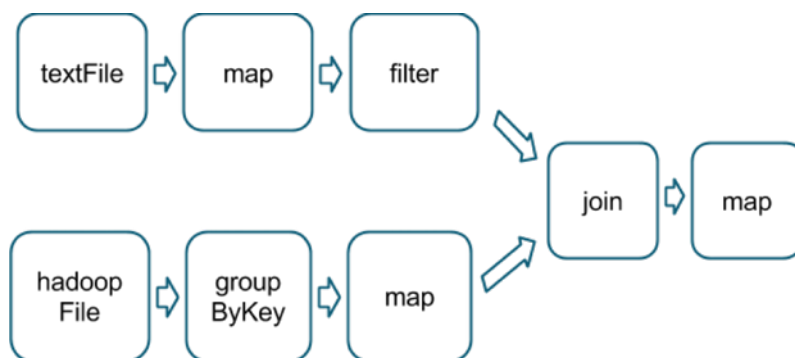
In contrast, this Scala code finds how many times each character appears in all the words that appear more than 1,000 times in a text file:

```
val tokenized = sc.textFile(args(0)).flatMap(_.split(' '))
val wordCounts = tokenized.map(_._1).reduceByKey(_ + _)
val filtered = wordCounts.filter(_._2 >= 1000)
val charCounts = filtered.flatMap(_._1.toCharArray).map(_._1).reduceByKey(_ + _)
charCounts.collect()
```

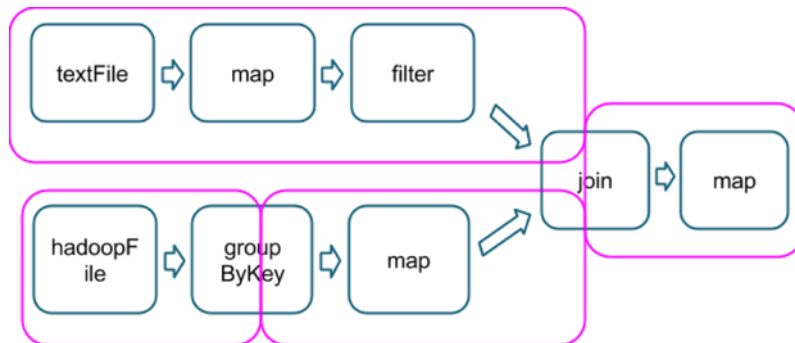
This example has three stages. The two `reduceByKey` transformations each trigger stage boundaries, because computing their outputs requires repartitioning the data by keys.

A final example is this more complicated transformation graph, which includes a `join` transformation with multiple dependencies:





The pink boxes show the resulting stage graph used to run it:



At each stage boundary, data is written to disk by tasks in the parent stages and then fetched over the network by tasks in the child stage. Because they incur high disk and network I/O, stage boundaries can be expensive and should be avoided when possible. The number of data partitions in a parent stage may be different than the number of partitions in a child stage. Transformations that can trigger a stage boundary typically accept a `numPartitions` argument, which specifies into how many partitions to split the data in the child stage. Just as the number of reducers is an important parameter in MapReduce jobs, the number of partitions at stage boundaries can determine an application's performance. [Tuning the Number of Partitions](#) on page 53 describes how to tune this number.

### Choosing Transformations to Minimize Shuffles

You can usually choose from many arrangements of actions and transformations that produce the same results. However, not all these arrangements result in the same performance. Avoiding common pitfalls and picking the right arrangement can significantly improve an application's performance.

When choosing an arrangement of transformations, minimize the number of shuffles and the amount of data shuffled. Shuffles are expensive operations; all shuffle data must be written to disk and then transferred over the network. `repartition`, `join`, `cogroup`, and any of the `*By` or `*ByKey` transformations can result in shuffles. Not all these transformations are equal, however, and you should avoid the following patterns:

- `groupByKey` when performing an associative reductive operation. For example, `rdd.groupByKey().mapValues(_.sum)` produces the same result as `rdd.reduceByKey(_ + _)`. However, the former transfers the entire dataset across the network, while the latter computes local sums for each key in each partition and combines those local sums into larger sums after shuffling.
- `reduceByKey` when the input and output value types are *different*. For example, consider writing a transformation that finds all the unique strings corresponding to each key. You could use `map` to transform each element into a `Set` and then combine the `Sets` with `reduceByKey`:

```
rdd.map(kv => (kv._1, new Set[String]() + kv._2)).reduceByKey(_ ++ _)
```

This results in unnecessary object creation because a new set must be allocated for each record.

Instead, use `aggregateByKey`, which performs the map-side aggregation more efficiently:

```
val zero = new collection.mutable.Set[String]()
rdd.aggregateByKey(zero)((set, v) => set += v, (set1, set2) => set1 ++= set2)
```

- `flatMap-join-groupBy`. When two datasets are already grouped by key and you want to join them and keep them grouped, use `cogroup`. This avoids the overhead associated with unpacking and repacking the groups.

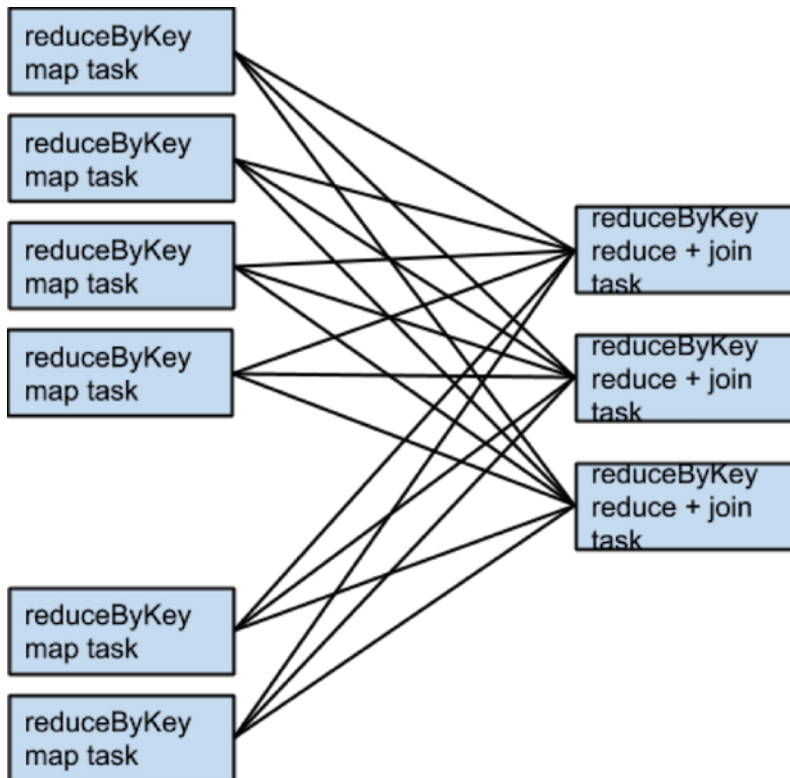
### When Shuffles Do Not Occur

In some circumstances, the transformations described previously *do not* result in shuffles. Spark does not shuffle when a previous transformation has already partitioned the data according to the *same partitioner*. Consider the following flow:

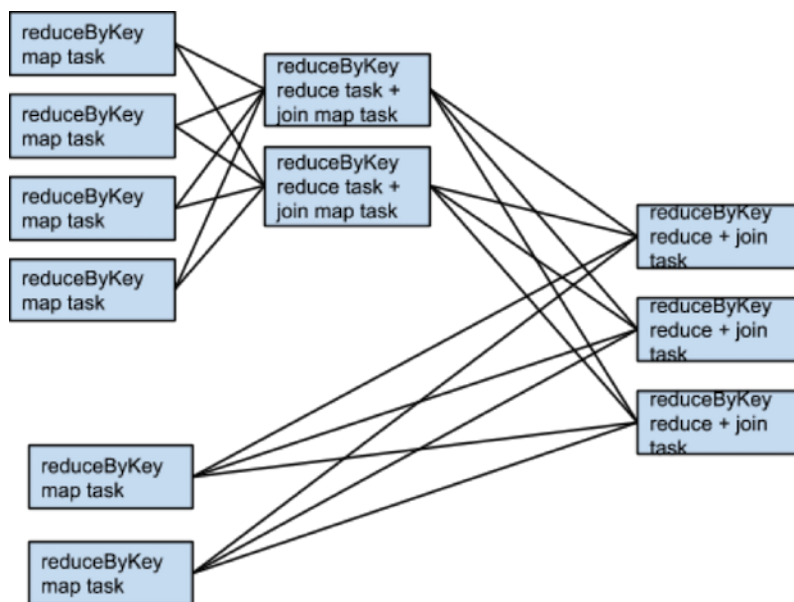
```
rdd1 = someRdd.reduceByKey(...)
rdd2 = someOtherRdd.reduceByKey(...)
rdd3 = rdd1.join(rdd2)
```

Because no partitioner is passed to `reduceByKey`, the default partitioner is used, resulting in `rdd1` and `rdd2` both being hash-partitioned. These two `reduceByKey` transformations result in two shuffles. If the datasets have the same number of partitions, a join requires no additional shuffling. Because the datasets are partitioned identically, the set of keys in any single partition of `rdd1` can only occur in a single partition of `rdd2`. Therefore, the contents of any single output partition of `rdd3` depends only on the contents of a single partition in `rdd1` and single partition in `rdd2`, and a third shuffle is not required.

For example, if `someRdd` has four partitions, `someOtherRdd` has two partitions, and both the `reduceByKey`s use three partitions, the set of tasks that run would look like this:



If `rdd1` and `rdd2` use different partitioners or use the default (hash) partitioner with different numbers of partitions, only one of the datasets (the one with the fewer number of partitions) needs to be reshuffled for the join:



To avoid shuffles when joining two datasets, you can use [broadcast variables](#). When one of the datasets is small enough to fit in memory in a single executor, it can be loaded into a hash table on the driver and then broadcast to every executor. A map transformation can then reference the hash table to do lookups.

#### When to Add a Shuffle Transformation

The rule of minimizing the number of shuffles has some exceptions.

An extra shuffle can be advantageous when it increases parallelism. For example, if your data arrives in a few large unsplitable files, the partitioning dictated by the `InputFormat` might place large numbers of records in each partition, while not generating enough partitions to use all available cores. In this case, invoking repartition with a high number of partitions (which triggers a shuffle) after loading the data allows the transformations that follow to use more of the cluster's CPU.

Another example arises when using the `reduce` or `aggregate` action to aggregate data into the driver. When aggregating over a high number of partitions, the computation can quickly become bottlenecked on a single thread in the driver merging all the results together. To lighten the load on the driver, first use `reduceByKey` or `aggregateByKey` to perform a round of distributed aggregation that divides the dataset into a smaller number of partitions. The values in each partition are merged with each other in parallel, before being sent to the driver for a final round of aggregation. See [treeReduce](#) and [treeAggregate](#) for examples of how to do that.

This method is especially useful when the aggregation is already grouped by a key. For example, consider an application that counts the occurrences of each word in a corpus and pulls the results into the driver as a map. One approach, which can be accomplished with the `aggregate` action, is to compute a local map at each partition and then merge the maps at the driver. The alternative approach, which can be accomplished with `aggregateByKey`, is to perform the count in a fully distributed way, and then simply `collectAsMap` the results to the driver.

#### Secondary Sort

The [repartitionAndSortWithinPartitions](#) transformation repartitions the dataset according to a partitioner and, within each resulting partition, sorts records by their keys. This transformation pushes sorting down into the shuffle machinery, where large amounts of data can be spilled efficiently and sorting can be combined with other operations.

For example, Apache Hive on Spark uses this transformation inside its `join` implementation. It also acts as a vital building block in the [secondary sort](#) pattern, in which you group records by key and then, when iterating over the values that correspond to a key, have them appear in a particular order. This scenario occurs in algorithms that need to group events by user and then analyze the events for each user, based on the time they occurred.

### Tuning Resource Allocation

For background information on how Spark applications use the YARN cluster manager, see [Running Spark Applications on YARN](#) on page 39.

The two main resources that Spark and YARN manage are CPU and memory. Disk and network I/O affect Spark performance as well, but neither Spark nor YARN actively manage them.

Every Spark executor in an application has the same fixed number of cores and same fixed heap size. Specify the number of cores with the `--executor-cores` command-line flag, or by setting the `spark.executor.cores` property. Similarly, control the heap size with the `--executor-memory` flag or the `spark.executor.memory` property. The `cores` property controls the number of concurrent tasks an executor can run. For example, set `--executor-cores 5` for each executor to run a maximum of five tasks at the same time. The memory property controls the amount of data Spark can cache, as well as the maximum sizes of the shuffle data structures used for grouping, aggregations, and joins.

Starting with CDH 5.5 [dynamic allocation](#), which adds and removes executors dynamically, is enabled. To explicitly control the number of executors, you can override dynamic allocation by setting the `--num-executors` command-line flag or `spark.executor.instances` configuration property.

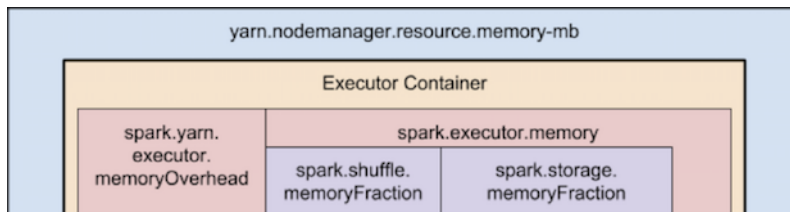
Consider also how the resources requested by Spark fit into resources YARN has available. The relevant YARN properties are:

- `yarn.nodemanager.resource.memory-mb` controls the maximum sum of memory used by the containers on each host.
- `yarn.nodemanager.resource.cpu-vcores` controls the maximum sum of cores used by the containers on each host.

Requesting five executor cores results in a request to YARN for five cores. The memory requested from YARN is more complex for two reasons:

- The `--executor-memory/spark.executor.memory` property controls the executor heap size, but executors can also use some memory off heap, for example, Java NIO direct buffers. The value of the `spark.yarn.executor.memoryOverhead` property is added to the executor memory to determine the full memory request to YARN for each executor. It defaults to  $\max(384, .1 * \text{spark.executor.memory})$ .
- YARN may round the requested memory up slightly. The `yarn.scheduler.minimum-allocation-mb` and `yarn.scheduler.increment-allocation-mb` properties control the minimum and increment request values, respectively.

The following diagram (not to scale with defaults) shows the hierarchy of memory properties in Spark and YARN:



Keep the following in mind when sizing Spark executors:

- The ApplicationMaster, which is a non-executor container that can request containers from YARN, requires memory and CPU that must be accounted for. In **client** deployment mode, they default to 1024 MB and one core. In **cluster** deployment mode, the ApplicationMaster runs the driver, so consider bolstering its resources with the `--driver-memory` and `--driver-cores` flags.
- Running executors with too much memory often results in excessive garbage-collection delays. For a single executor, use 64 GB as an upper limit.
- The HDFS client has difficulty processing many concurrent threads. At most, five tasks per executor can achieve full write throughput, so keep the number of cores per executor below that number.

- Running tiny executors (with a single core and just enough memory needed to run a single task, for example) offsets the benefits of running multiple tasks in a single JVM. For example, broadcast variables must be replicated once on each executor, so many small executors results in many more copies of the data.

### Resource Tuning Example

Consider a cluster with six hosts running NodeManagers, each equipped with 16 cores and 64 GB of memory.

The NodeManager capacities, `yarn.nodemanager.resource.memory-mb` and `yarn.nodemanager.resource.cpu-vcores`, should be set to  $63 * 1024 = 64512$  (megabytes) and 15, respectively. Avoid allocating 100% of the resources to YARN containers because the host needs some resources to run the OS and Hadoop daemons. In this case, leave one GB and one core for these system processes. Cloudera Manager accounts for these and configures these YARN properties automatically.

You might consider using `--num-executors 6 --executor-cores 15 --executor-memory 63G`. However, this approach does not work:

- 63 GB plus the executor memory overhead does not fit within the 63 GB capacity of the NodeManagers.
- The ApplicationMaster uses a core on one of the hosts, so there is no room for a 15-core executor on that host.
- 15 cores per executor can lead to bad HDFS I/O throughput.

Instead, use `--num-executors 17 --executor-cores 5 --executor-memory 19G`:

- This results in three executors on all hosts except for the one with the ApplicationMaster, which has two executors.
- `--executor-memory` is computed as  $(63/3 \text{ executors per host}) = 21. 21 * 0.07 = 1.47. 21 - 1.47 \sim 19$ .

### Tuning the Number of Partitions

Spark has limited capacity to determine optimal parallelism. Every Spark stage has a number of tasks, each of which processes data sequentially. The number of tasks per stage is the most important parameter in determining performance.

As described in [Spark Execution Model](#) on page 8, Spark groups datasets into stages. The number of tasks in a stage is the same as the number of partitions in the last dataset in the stage. The number of partitions in a dataset is the same as the number of partitions in the datasets on which it depends, with the following exceptions:

- The `coalesce` transformation creates a dataset with *fewer* partitions than its parent dataset.
- The `union` transformation creates a dataset with the *sum* of its parents' number of partitions.
- The `cartesian` transformation creates a dataset with the *product* of its parents' number of partitions.

Datasets with no parents, such as those produced by `textFile` or `hadoopFile`, have their partitions determined by the underlying MapReduce `InputFormat` used. Typically, there is a partition for each HDFS block being read. The number of partitions for datasets produced by `parallelize` are specified in the method, or `spark.default.parallelism` if not specified. To determine the number of partitions in an dataset, call `rdd.partitions().size()`.

If the number of tasks is smaller than number of slots available to run them, CPU usage is suboptimal. In addition, more memory is used by any aggregation operations that occur in each task. In `join`, `cogroup`, or `*ByKey` operations, objects are held in hashmaps or in-memory buffers to group or sort. `join`, `cogroup`, and `groupByKey` use these data structures in the tasks for the stages that are on the fetching side of the shuffles they trigger. `reduceByKey` and `aggregateByKey` use data structures in the tasks for the stages on both sides of the shuffles they trigger. If the records in these aggregation operations exceed memory, the following issues can occur:

- Increased garbage collection, which can lead to pauses in computation.
- Spilling data to disk, causing disk I/O and sorting, which leads to job stalls.

To increase the number of partitions if the stage is reading from Hadoop:

- Use the `repartition` transformation, which triggers a shuffle.
- Configure your `InputFormat` to create more splits.
- Write the input data to HDFS with a smaller block size.

## Running Spark Applications

If the stage is receiving input from another stage, the transformation that triggered the stage boundary accepts a `numPartitions` argument:

```
val rdd2 = rdd1.reduceByKey(_ + _, numPartitions = X)
```

Determining the optimal value for  $X$  requires experimentation. Find the number of partitions in the parent dataset, and then multiply that by 1.5 until performance stops improving.

You can also calculate  $X$  using a formula, but some quantities in the formula are difficult to calculate. The main goal is to run enough tasks so that the data destined for each task fits in the memory available to that task. The memory available to each task is:

```
(spark.executor.memory * spark.shuffle.memoryFraction * spark.shuffle.safetyFraction) /  
spark.executor.cores
```

`memoryFraction` and `safetyFraction` default to 0.2 and 0.8 respectively.

The in-memory size of the total shuffle data is more difficult to determine. The closest heuristic is to find the ratio between shuffle spill memory and the shuffle spill disk for a stage that ran. Then, multiply the total shuffle write by this number. However, this can be compounded if the stage is performing a reduction:

```
(observed shuffle write) * (observed shuffle spill memory) * (spark.executor.cores) /  
(observed shuffle spill disk) * (spark.executor.memory) * (spark.shuffle.memoryFraction)  
* (spark.shuffle.safetyFraction)
```

Then, round up slightly, because too many partitions is usually better than too few.

When in doubt, err on the side of a larger number of tasks (and thus partitions). This contrasts with recommendations for MapReduce, which unlike Spark, has a high startup overhead for tasks.

### Reducing the Size of Data Structures

Data flows through Spark in the form of records. A record has two representations: a deserialized Java object representation and a serialized binary representation. In general, Spark uses the deserialized representation for records in memory and the serialized representation for records stored on disk or transferred over the network. For sort-based shuffles, in-memory shuffle data is stored in serialized form.

The `spark.serializer` property controls the serializer used to convert between these two representations. Cloudera recommends using the Kryo serializer, `org.apache.spark.serializer.KryoSerializer`.

The footprint of your records in these two representations has a significant impact on Spark performance. Review the data types that are passed and look for places to reduce their size. Large deserialized objects result in Spark spilling data to disk more often and reduces the number of deserialized records Spark can cache (for example, at the `MEMORY` storage level). The Apache Spark tuning guide describes how to [reduce the size of such objects](#). Large serialized objects result in greater disk and network I/O, as well as reduce the number of serialized records Spark can cache (for example, at the `MEMORY_SER` storage level.) Make sure to register any custom classes you use with the [SparkConf#registerKryoClasses](#) API.

### Choosing Data Formats

When storing data on disk, use an extensible binary format like [Avro](#), [Parquet](#), Thrift, or Protobuf and store in a [sequence file](#).

## Spark and Hadoop Integration



**Important:** Spark does not support accessing multiple clusters in the same application.

This section describes how to access various Hadoop ecosystem components from Spark.

### Accessing HBase from Spark

To configure Spark to interact with HBase, you can specify an HBase service as a Spark service dependency in Cloudera Manager:

1. In the Cloudera Manager admin console, go to the Spark service you want to configure.
2. Go to the **Configuration** tab.
3. Enter `hbase` in the **Search** box.
4. In the **HBase Service** property, select your HBase service.
5. Click **Save Changes** to commit the changes.

You can use Spark to process data that is destined for HBase. See [Importing Data Into HBase Using Spark](#).

You can also use Spark in conjunction with Apache Kafka to stream data from Spark to HBase. See [Importing Data Into HBase Using Spark and Kafka](#).

The host from which the Spark application is submitted or on which `spark-shell` or `pyspark` runs must have an HBase [gateway role](#) defined in Cloudera Manager and [client configurations](#) deployed.

#### Limitation with Region Pruning for HBase Tables

When SparkSQL accesses an HBase table through the HiveContext, region pruning is not performed. This limitation can result in slower performance for some SparkSQL queries against tables that use the HBase SerDes than when the same table is accessed through Impala or Hive.

#### Limitations in Kerberized Environments

In the Spark applications that access HBase in a Kerberized cluster, if the cluster that has HA enabled, you must specify the `keytab` and `principal` parameters in your command line (as opposed to using `kinit`). For example:

```
spark-shell --jars MySparkHbaseApp.jar --principal ME@DOMAIN.COM --keytab
/path/to/local/keytab ...
```

```
spark-submit --class com.example.SparkHbaseApp --principal ME@DOMAIN.COM --keytab
/path/to/local/keytab
SparkHBaseApp.jar [ application parameters... ]"
```

For further information, see [Spark Authentication](#).

### Accessing Hive from Spark

The host from which the Spark application is submitted or on which `spark-shell` or `pyspark` runs must have a Hive [gateway role](#) defined in Cloudera Manager and [client configurations](#) deployed.

When a Spark job accesses a Hive view, Spark must have privileges to read the data files in the underlying Hive tables. Currently, Spark cannot use fine-grained privileges based on the columns or the `WHERE` clause in the view definition.



If Spark does not have the required privileges on the underlying data files, a SparkSQL query against the view returns an empty result set, rather than an error.

### Running Spark Jobs from Oozie

For CDH 5.4 and higher you can invoke Spark jobs from Oozie using the Spark action. For information on the Spark action, see [Oozie Spark Action Extension](#).

In CDH 5.4, to enable [dynamic allocation](#) when running the action, specify the following in the Oozie workflow:

```
<spark-opts>--conf spark.dynamicAllocation.enabled=true
--conf spark.shuffle.service.enabled=true
--conf spark.dynamicAllocation.minExecutors=1
</spark-opts>
```

If you have enabled the shuffle service in Cloudera Manager, you do not need to specify `spark.shuffle.service.enabled`.

### Building and Running a Crunch Application with Spark

[Developing and Running a Spark WordCount Application](#) on page 9 provides a tutorial on writing, compiling, and running a Spark application. Using the tutorial as a starting point, do the following to build and run a Crunch application with Spark:

1. Along with the other dependencies shown in the tutorial, add the appropriate [version](#) of the `crunch-core` and `crunch-spark` dependencies to the Maven project.

```
<dependency>
  <groupId>org.apache.crunch</groupId>
  <artifactId>crunch-core</artifactId>
  <version>${crunch.version}</version>
  <scope>provided</scope>
</dependency>
<dependency>
  <groupId>org.apache.crunch</groupId>
  <artifactId>crunch-spark</artifactId>
  <version>${crunch.version}</version>
  <scope>provided</scope>
</dependency>
```

2. Use [SparkPipeline](#) where you would have used `MRPipeline` in the declaration of your Crunch pipeline. `SparkPipeline` takes either a `String` that contains the connection string for the Spark master (`local` for local mode, `yarn` for YARN) or a `JavaSparkContext` instance.
3. As you would for a Spark application, use [spark-submit](#) start the pipeline with your Crunch application `app-jar-with-dependencies.jar` file.

For an example, see [Crunch demo](#). After building the example, run with the following command:

```
spark-submit --class com.example.WordCount
crunch-demo-1.0-SNAPSHOT-jar-with-dependencies.jar \
hdfs://namenode_host:8020/user/hdfs/input hdfs://namenode_host:8020/user/hdfs/output
```



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